

Transmission - Document requirements

S No	Documents required for Transmission	Single Holding / on Death of all Unit Holders & Nominee available in folios	Single Holding / on Death of all Unit Holders & Nominee not available	Transmission to Joint Holder(s)	Transmission in case of HUF
	Basic Documents:-				
1	Notarized Copy of the Death Certificate of deceased Unit Holder	√	√	√	
2	PAN Card Copy of Nominee / Claimant duly attested by ARN Distributor / Notary / Bank Manager. KYC if the amount exceeds Rs 50,000/-	√	√	√	
2A	PAN Card Copy of the Guardian (in case of nominee /claimant being a minor / of unsound mind [where applicable]). KYC if the amount exceeds Rs 50,000/-	√	√	√	
3	New Bank Mandate details - duly attested by Bank Manager # - (Annexure I) or Cheque copy with name printed on the cheque.	√	√	√	
4	Letter from the Nominee or the Claimant addressed to the AMC.	√	√	√	
	Legal Documents:-				
1	Indemnity bond signed by Nominee (Annexure II)	√			
2	For investment value less than Rs 50,000/-				
2A	Certified copy of Will or Legal Heir Succession Certificate (if Available)		√		
2B	Indemnity Bond signed by all legal heirs confirming the claimant (Annexure III)		√		
3	For investment value greater than Rs 50,000/-				
3A	Notarised copy of the Court Probated Will (if will is available) or Court Order confirming the legal heirs.		√		
3B	Indemnity Bond signed by all legal heirs confirming the claimant (Annexure III)		√		
4	Documents for Transmission in case of HUF				
4A	Letter from the new Karta addressed to the AMC				√
4B	Notarized Copy of the Death Certificate of deceased Karta.				√
4C	PAN / KYC of the HUF if not earlier provided.				√
4D	Indemnity Bond signed by all the coparceners appointing the new Karta (Annexure IV)				√
4E	Banker's Confirmation on updation of the new Karta in their records and signature attestation of the Karta.(Annexure I)				√
	Additional documents for value greater than Rs 50,000/-				
4F	Original HUF Deed				√
4G	Succession Certificate of the deceased Karta issued by the Court OR Notarized Copy of the Legal Heirship certificate issued by the Local Municipal Authorities.				√

**** Indemnity Bond to be on minimum Rs 20/- stamp paper and duly notarised****

**** Address mentioned in the Bank's Letter should match with the address mentioned in the Indemnity Bond. In case of mismatch the transmission request is liable to be rejected after due consultation with the AMC****