

ACKNOWLEDGEMENT SLIP

Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect details in Transaction Form.

Investor Name

Existing SSIP Folio Number

- SCHEME CHANGE FOR FUTURE SSIP
- SUBMISSION OF ADDITIONAL POST DATED CHEQUES
- NORMAL REDEMPTION
- SWITCH OF EXISTING UNITS
- CHANGE OF BANK ACCOUNT DETAILS
- REINSTATEMENT OF DISHONOURED TRANSACTION
- REINSTATEMENT OF PRINCIPAL AMOUNT REDEEMED IN CASE OF 21yrs. SSIP OPTION
- PIN FACILITY
- CHANGE OF ADDRESS
- CONTACT DETAILS
- PIN DETAILS
- NOMINATION DETAILS

ISC Stamp & Signature

• Scheme change for future SSIP instatements • Submission of additional post-dated cheques • Normal redemption • Switch of existing units • Change of bank account details • Reinstatement of dishonoured transaction • Reinstatement of principal amount redeemed in case of 21 yrs. SSIP option • PIN facility • Change of address • Contact details • PAN details • Nomination details
Please use separate Transaction Form for each Transaction and for each Scheme / Plan and Kindly refer Instructions overleaf.

Name of Sole / First Unitholder (Leave space between first / middle / last name) Mr. Ms. M/s. Others

Existing SSIP Folio Number Scheme Name (Mandatory) Option (Mandatory)

SCHEME CHANGE FOR FUTURE SSIP INSTALMENT (INSTRUCTION 1)

*Kindly invest my future SSIP instalments in the scheme mentioned below.

Scheme Name

Option Growth* Dividend reinvestment (*Default Option # Default option for DSPBRIM) (Kindly refer to schemes available with SSIP option in instructions).

*Scheme change for future instalments will take upto 25 days. Any SSIP instalments in the interim, by the time new scheme is updated by the registrar, will be made as per previous instructions.

SWITCH OF EXISTING UNITS

Amount in Figures Amount in Words

OR (Please note that the switch can be done wither in Units or in Amount and not in both)

Units in Figures Units in Words

Switch-in to Scheme / Option* (*Default option will be applicable if not mentioned clearly)

SUBMISSION OF ADDITIONAL POST DATED CHEQUES (INSTRUCTIONS 2)

Cheques to be in favour of "DSP BlackRock Mutual Fund"

Cheque date and cheque amount should be as per existing SSIP date & amount in the folio.

Number of Cheques provided (minimum 18)

Cheques Nos. From To

Drawn on Bank
Branch
City

NORMAL REDEMPTION

If you are an investor in the 21 year SSIP, insurance cover will be discontinued, if redeemed during first three years, or if redeemed more than capital appreciation after three years. In the 6, 11 or 16 year you may redeem any amount without loss of insurance cover. In all cases redemption will account for application CDSC if any.

Amount in Figures Amount in Words

OR (Please note that the redemption can be done wither in Units or in Amount and not in both)

Units in Figures Units in Words

CHANGE OF OF BANK ACCOUNT DETAILS

Please attach cancelled / photocopy of Cheque / Bank Letter confirming new bank account details

Bank A/c No.
Bank Name
Branch Name
Address
City Pin Code

9 digit MICR Number

A/c Type (Please) Savings Current NRE NRO

11 Digit IFSC Code

DECLARATION & SIGNATURES

Having read and understood the contents of the Standard Offer Document, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of DSP BlackRock Mutual Fund mentioned within, I / We hereby apply to the Trustee of DSP BlackRock Mutual Fund for units of the Scheme(s) and agree to abide by terms and conditions, rules and regulations of the relevant Scheme(s). I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We declare that the amount invested in the scheme is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by Government of India or any Statutory Authority.

Applicable to NRIs only : I / We confirm that I am Non-Resident(s) of Indian Nationality / Origin and I hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account(s).

Sole / First Holder
Second Holder
Third Holder
Sole Holder

INSTRUCTIONS

This form can be used by EXISTING SSIP investors only. Please read the below mentioned instructions carefully before filling up the form.

GENERAL INSTRUCTIONS: Investors fill their name, SSIP Folio No., Scheme name & option clearly. In case they do not fill the plan / option / sub-option properly and clearly or in case of incomplete details, non-clarity or ambiguity, default options will be considered and applied. Monthly instalment amount, Date and/ or SSIP tenure cannot be changed.

1. SCHEME CHANGE FOR FUTURE SSIP INSTALMENTS: The schemes available with SSIP options are: (a) DSPBR Equity Fund - Regular (b) DSPBR Top 100 Equity Fund - Regular (c) DSPBR Opportunities Fund - Regular (d) DSPBR India T.I.G.E.R. Fund - Regular (e) DSPBR Balanced Fund (f) DSPBR Tax Saver Fund and (g) DSPBR Small & Mid Cap Fund - Regular. Investors should check with DSPBRIM offices for any schemes that may have been added / removed subsequently. The request for scheme change for future SSIP should be submitted at any official point of transaction at least 25 days before the immediately next SSIP. Changing the monthly instalment amount and / or SSIP tenure is not permissible. In case the payment mechanism is through Post-dated cheques, the relevant changes may have to be made to subsequent Post-dated cheques. Any SSIP instalments in the interim, by the time a new scheme is updated by the Registrar, will be made as per previous instructions.

2. SUBMISSION OF ADDITIONAL POST-DATED CHEQUES: The cheques should be drawn in favour of 'DSPBR Mutual Fund', and should be crossed 'Account Payee Only'. Minimum 18 Post-dated cheques are required. Separate cheques and form should be given for each separate investments in a different scheme / option or SSIP folio.

3. REINSTATEMENT OF A DISHONOURD TRANSACTION: The life insurance cover will be terminated if the investor fails to pay more than 5 cumulative instalments. The reinstatement cheque should be submitted within 25 days from the due date of the default transaction. Reinstated instalment is not counted as a missed instalment for the purpose of 5 cumulative instalment defaults. In other words, a default instalment made good within the required time limit will not be taken into consideration for the purpose of counting the number of SSIP instalment defaults by an investor.

4. REINSTATEMENT OF PRINCIPAL AMOUNT REDEEMED IN 21 YEARS SSIP TENURE: In the 21 year SSIP tenure, an investor can redeem only the capital appreciation after three years to continue with the insurance benefit. An investor loses the insurance cover if the principal amount invested till date is redeemed. Should an investor redeem the principal amount, the investor may give a cheque for the same so that the cover is reinstated. The cheque amount should be equal to the principal amount redeemed. The cheque should be submitted within 25 days of the redemption.

5. PIN FACILITY: The fund provides the facility to transact over the telephone or on the internet as and when offered. For this purpose, Unitholders will be provided with a Personal Identification Number (PIN). A PIN is currently issued to individuals with Single/Either or Survivor holding. Applicants who wish to have a PIN should read the terms and conditions of the PIN facility and tick the relevant box to request a PIN. A PIN will be mailed to the Unitholder entirely at his / her own risk.

6. CONTACT AND PAN DETAILS: Applicants should provide contact information such as their telephone number and email address. PAN details is mandatory.

7. EMAIL COMMUNICATION: Account statements will be send via email by default to investors who have provided their email ID, unless specified otherwise. It is deemed that the Unitholder is aware of all the security risks associated with online communication, including possible third party interception of the documents send via email.

8. NOMINATION DETAILS: The nomination form can be made only by individuals applying for / holding units on their own behalf singly. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units. The cancellation of the nomination can be made only by those individuals who holds units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall be under any obligation to transfer the units in favour of the Nominee. The rights in the units will vest in the nominee only upon the death of all unitholders.

Name of Sole / First Unitholder (Leave space between first / middle / last name) Mr. Ms. M/s. Others

Existing SSIP Folio Number Scheme Name (Mandatory) Option (Mandatory)

REINSTATEMENT OF DISHONOURD TRANSACTION (INSTRUCTION 3)

(Due to cheque bounce / Auto debit failure)

Date of Dishonoured SSIP Transaction Enclosed Cheque No.
 / /

Amount* Date
 / /

Drawn on Bank

*Cheque amount to be the same as monthly SSIP instalments in the Folio.

REINSTATEMENT OF PRINCIPAL AMOUNT REDEEMED IN 21 YEARS SSIP TENURE (INSTRUCTION 4)

Date of Redemption Enclosed Cheque No.
 / /

Amount* Cheque Date
 / /

Drawn on Bank

*Cheque amount to be the same as actual principal amount redeemed.

PIN FACILITY

[Please ✓]

I would like to subscribe to the **Personal Identification Number (PIN)** Facility offered by DSP BlackRock Mutual Fund. Issue me a PIN for Telephone Transactions & for Internet Transactions as and when started. I/We have read and agree to the terms & conditions of this facility.

CHANGE OF ADDRESS (P.O. Box Address is not sufficient) (Local Address for NRIs / FIIs)

CoA for KYC Compliance Folios need to be carried with CVL and not directly with the Fund / Registrar.

City
 Pin Code State

NRI / FII Overseas Address (Mandatory) (P.O. Box Address is not sufficient)

CONTACT DETAILS

STD Code Tel. Off.
 Extn. Mobile
 Tel. Resi. Fax
 E-Mail

*Account statement will be send via e-mail by default unless specified otherwise

PAN DETAILS

PAN

Sole / First Applicant / Guardian

NOMINATION DETAILS

I do hereby nominate the person more particularly described hereunder and / or cancel the nomination made by me / us on the _____ day of _____ in respect of Units held by me/us.

Date of Birth of Nominee / /

Nominee Name

Relationship (Mandatory)

Guardian Name (If Nominee is Minor)

Address

City Pin Code

Tel. Off. Resi.

E-Mail

PAN

Signature of Guardian / Nominee (Optional)

DECLARATION & SIGNATURES

Having read and understood the contents of the Standard Offer Document, Key Information Memorandum, Instructions overleaf and Addenda issued from time to time of the respective Scheme(s) of DSP BlackRock Mutual Fund mentioned within, I hereby apply to the Trustee of DSP BlackRock Mutual Fund for units of the Scheme(s) and agree to abide by terms and conditions, rules and regulations of the relevant Scheme(s). I have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I hereby nominate the above nominee to receive all the amounts to my credits in the event of my death and have read the Instructions for Nomination listed overleaf. Signature of the nominee acknowledging receipts of my credit will constitute full discharge of liabilities of the Fund. I declare that the amount invested in the scheme is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by Government of India or any Statutory Authority.

Applicable to NRIs only: I confirm that I am a Non-Resident(s) of Indian Nationality / Origin and I hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my Non-Resident External / Ordinary Account / FCNR Account(s).

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