

(On Rs.200/- stamp paper)

**INDEMNITY BOND**  
**(By Transferor Distributor)**

To,  
DSP BlackRock Mutual Fund,  
c/o Computer Age Management Services Pvt Ltd  
1st Floor, Opp Hotel Palm Grove,  
178/10, MGR Salai, (Formerly Kodambakkam High Road)  
Chennai 600 034

Dear Sirs,

In connection with the distribution of the units of DSP BlackRock Mutual Fund, DSP BlackRock Investment Managers Pvt Ltd. (DSPBRIM) has allotted to me/ us broker code no. \_\_\_\_\_.

Due to restructuring of my/ our business entity or for any other reason as specified herein, *(Please tick  whichever is applicable)*

I/ We hereby surrender the broker code and request you to allot this broker code; *Or*

I/We hereby request you to transfer entire business from my/our broker code;

to \_\_\_\_\_ *(Name of the new entity/partner/Sole Proprietor/others, specify)*

Communications regarding the above change has been sent to all the investors affected by this change. A copy of the said communication and list of investors has been attached, for your reference.

Consequent to the above, the incoming broker will be fully and solely entitled to receive all brokerage/commissions under the broker code allotted to him/it, in respect of all subscriptions procured by such broker including, but not limited to, front-end brokerage, annualized commissions, trail fees and any other income generated in any form whatsoever from mutual funds.

Also, all future correspondences in the form of statements, addenda, reports, notices etc. may be sent to the address of such broker.

In consideration of the aforesaid, I/ We agree to indemnify DSPBRIM and their directors, officers & employees (DSPBRIM and each such person being an "Indemnified Party") from and against any and all losses, claims, damages and liabilities, joint or several, to which such Indemnified Party may become subject to under any applicable law, or otherwise and related to or arising out of such allotment of broker code or transfer of business and will reimburse in Indian rupees, for all expenses (including counsel fees and expenses) as they are incurred in connection with the investigation of, preparation for or defense of any pending or threatened claim or any action or proceeding arising therefrom, whether or not such Indemnified Party is a party.

I/ We also agree that the Indemnified Party shall have no liability (whether direct or indirect, in contract or tort or otherwise) related to or arising out of such allotment of broker code or transfer of business. In the event that the investor(s) communicate their dissent to the above change and the same has been received by the AMC after an expiry of 15 days from the date of the letter sent by me/us to the investor(s), DSPBRIM shall not be held liable for any losses or damages claimed by the investor(s) for already having acted on my/our request for change in broker code.

I/We agree that, without DSPBRIM's prior written consent, I/We will not settle, compromise or consent to the entry of any judgment in any pending or threatened claim, action or proceeding in respect of which indemnification could be sought under the indemnification provision hereof unless such settlement, compromise or consent includes an unconditional release of DSPBRIM each indemnified party from all liability arising out of such claim, action or proceeding.

Yours truly,

For \_\_\_\_\_

Proprietor/ Partner/ Director/ Authorized Signatory

(With Seal)

Address

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DSP BlackRock Mutual Fund,  
c/o Computer Age Management Services Pvt Ltd  
1st Floor, Opp Hotel Palm Grove,  
178/10, MGR Salai, (Formerly Kodambakkam High Road)  
Chennai 600 034

Dear Sirs,

In connection with the distribution of the units of DSP BlackRock Mutual Fund, DSP BlackRock Investment Managers Private Limited (DSPBRIM) had allotted to me/us broker code no. \_\_\_\_\_.

Due to restructuring of the business entity of \_\_\_\_\_ (*Name of the outgoing broker*) or for any other reason as specified in the letter, the outgoing broker has decided to; (*Please tick , whichever is applicable*)

surrender the broker code and requested you to allot the same broker code to me/us; OR

transfer partial/entire business from outgoing broker code to my/our broker code.

Consequent to the above, I/We are fully and solely entitled to receive all brokerage/commissions in respect of subscriptions procured by me/us under the broker code allotted to me/us, including but not limited to, front-end brokerage, annualized commissions, trail fees and any other income generated in any form whatsoever from mutual funds.

Also, all future correspondences in the form of statements, addenda, reports, notices etc. may be sent to my/our address provided below.

In consideration of the aforesaid, I/ We agree to indemnify DSPBRIM and their directors, officers & employees (DSPBRIM and each such person being an "Indemnified Party") from and against any and all losses, claims, damages and liabilities, joint or several, to which such Indemnified Party may become subject to under any applicable law, or otherwise and related to or arising out of the allotment of the broker code to me/ us and will reimburse in Indian rupees, for all expenses (including counsel fees and expenses) as they are incurred in connection with the investigation of, preparation for or defense of any pending or threatened claim or any action or proceeding arising therefrom, whether or not such Indemnified Party is a party.

I/ We also agree that the Indemnified Party shall have no liability (whether direct or indirect, in contract or tort or otherwise) related to or arising out of allotment of the broker code or transfer of business to me/ us.

I/We agree that, without DSPBRIM's prior written consent, I/We will not settle, compromise or consent to the entry of any judgment in any pending or threatened claim, action or proceeding in respect of which indemnification could be sought under the indemnification provision hereof unless such settlement, compromise or consent includes an unconditional release of DSPBRIM and each indemnified party from all liability arising out of such claim, action or proceeding.

Yours truly,

For \_\_\_\_\_

Proprietor/ Partner/ Director/ Authorized Signatory

Address :