

ACKNOWLEDGEMENT SLIP

Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect details in Transaction Form.

Investor Name

Folio Number

Scheme

Plan / Option / Sub Option

Additional Purchase or SIP

Total Amount (Rs. _____)

Total Cheques _____

Cheques No.(s) _____
From _____
To _____

Redemption or Switch

Amount (Rs.) _____

OR Units _____

SWP or STP

Change of Bank Account

PAN Details KYC Letter

Change of Address / Contact Details

Cancellation Form for Systematic Transactions (SIP/ STP/ SWP)

PIN Facility Nomination Details

ISC Stamp & Signature

Please fill only in the space provided. Any additional details/notings/instructions or those provided at a non designated area of the form may not be executed. Please use separate Transaction Form for each Transaction and for each Scheme / Plan and Kindly refer Instructions overleaf.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Name of Sole / First Unitholder (Leave space between first / middle / last name) Mr. Ms. M/s. Others _____

Existing Folio Number _____ **Scheme Name / Plan / Option* / Sub Option***
_____ **DSP BlackRock**

ADDITIONAL PURCHASE (Write Investment Scheme Name, Plan / Option* / Sub Option* on top)

Amount in Words _____

Amount in Figures _____ Cheque / DD No. _____
Rs. _____
Cheque / DD Drawn on (Name of Bank & Branch) _____

Cheque / DD Date ____ / ____ / ____ Cheque/DD to be drawn in favour of 'Name of the Scheme' _____

REDEMPTION (Write Scheme Name, Plan / Option / Sub Option on top)

Amount in Figures _____ Amount in Words _____
Rs. _____

OR (Please note that the Redemption can be done either in Units or in Amount and not in both)

Units in Figures _____ Units in Words _____

SWITCH (Write switch-out Scheme Name, Plan / Option / Sub Option on top)

Amount in Figures _____ Amount in Words _____
Rs. _____

OR (Please note that the Switch can be done either in Units or in Amount and not in both)

Units in Figures _____ Units in Words _____

Switch-in To Scheme / Plan / Option* / Sub Option* _____
DSP BlackRock

CHANGE OF BANK ACCOUNT DETAILS#

Please attach cancelled / photocopy of Cheque / Bank Letter confirming new bank account details

Bank Name _____
Branch _____
Account No. _____

A/c. Type (Please Savings Current NRE NRO FCNR

9 Digit MICR Code _____
for ECS : _____

11 Digit IFSC Code _____

Distributor Name and ARN	Sub Broker Code	Branch / RM Code

PAN DETAILS

	PAN CARD COPY	KYC LETTER (for Rs. 50,000/- & above)
Sole / First Applicant / Guardian	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached
Second Applicant / Guardian	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached
Third Applicant / Guardian	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached

PoA (Power of Attorney) DETAILS, if applicable

Name of the PoA holder _____

PAN of the PoA holder _____ Attached PAN Card Copy KYC Letter

CHANGE OF ADDRESS (Local Address for NRIs / FIIs)#

CoA for KYC Compliance Folios need to be carried with CVL and not directly with the Fund / Registrar.

Landmark _____
City _____
Pin Code _____ State _____

NRI / FII Overseas Address (Mandatory) (P.O. Box Address is not sufficient)

CONTACT DETAILS

STD Code _____ Tel. Off. _____
Extn. _____ Mobile _____
Tel. Resi. _____ Fax _____
E-Mail _____

(Refer Instructions overleaf)

DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other model), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

Sole / First Holder	Second Holder	Third Holder

* Default Option will be applied in case of no information, ambiguity or discrepancy.
Change of address and change of bank submitted along with redemption/switch may be processed separately.

Name of Sole / First Unitholder (Leave space between first / middle / last name) <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s. <input type="checkbox"/> Others		Distributor Name and ARN	Sub Broker Code
Folio Number	Scheme Name / Plan / Option* / Sub Option*		
	DSP BlackRock		

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

CANCELLATION FORM FOR SYSTEMATIC TRANSACTIONS (SIP/ SWP / STP)

Please mention Folio no., Scheme, Plan / Option clearly above.

Transaction Type [Please SIP SWP STP

Installment / Transaction Date 1st 7th 14th 21st All four dates of the Month / Quarter.

Amount Rs. _____

SIP Auto Debit Bank Name _____

Bank A/c No. _____

Discontinuation of SI transaction may take upto one month.

PIN FACILITY

I / We would like to subscribe to the **Personal Identification Number (PIN)** Facility offered by DSP BlackRock Mutual Fund [Please]

Issue me/us a PIN for Telephone Transactions & for Internet Transactions as and when started.

I/We have read and agree to the terms & conditions of this facility mentioned in SID / SAI.

NOMINATION DETAILS

I/We do hereby nominate the person/s more particularly described hereunder / and / cancel the nomination made by me/us earlier in respect of Units held by Me/us.

	Name	Allocation %	Signature
1			
2			
3			
Total		100%	

Nominee 1 Address

Landmark _____

City _____ Pin Code _____

Nominee 2 Address

Landmark _____

City _____ Pin Code _____

Nominee 3 Address

Landmark _____

City _____ Pin Code _____

SYSTEMATIC INVESTMENT PLAN (SIP) POST DATED CHEQUES

(Separate Cheque required for investment in different Scheme / Plan)

All Cheques should be of same date of the months / quarters.

Each SIP Amount Rs. _____ Investment Frequency [Please Monthly Quarterly]

SIP Date 1st 7th 14th 21st All four dates of the Month / Quarter. (minimum 12 instalments)

SIP Period From _____ / _____ / _____ To _____ / _____ / _____

(Minimum 12 Instalments) M M Y Y Y Y M M Y Y Y Y

Cheque Nos. From _____ To _____

Drawn on Bank _____

Branch _____ City _____

SYSTEMATIC WITHDRAWAL PLAN (SWP) (Please allow 7 days to register SWP)

Withdrawal Amount Fixed Sum of Rs. _____ (Minimum Rs.1,000/-)

Capital Appreciation, subject to Minimum of Rs.1,000/-

Withdrawal Date Frequency 1st* 7th 14th 21st All four SWP Dates

Monthly* Quarterly * Default Option

Withdrawal Period From _____ / _____ / _____ To _____ / _____ / _____

(Period to cover - minimum 6 SWP transactions) M M Y Y Y Y M M Y Y Y Y

SYSTEMATIC TRANSFER PLAN (STP) (Please allow 7 days to register STP)

STP in To Scheme _____ **Plan / Option* / Sub Option*** _____

Transfer Amount Fixed Sum of Rs. _____ (Minimum Rs.1,000/-)

Capital Appreciation, subject to Minimum of Rs.1,000/-

Transfer Date Frequency 1st* 7th 14th 21st All four STP Dates

Monthly* Quarterly * Default Option

Transfer Period From _____ / _____ / _____ To _____ / _____ / _____

(Period to cover - minimum 6 STP transactions) M M Y Y Y Y M M Y Y Y Y

Investments done in schemes through STP will be treated as investments through SIP and the load structure for SIP will be applicable.

DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that I/we do not have any existing Micro SIPs with DSP BlackRock Mutual Fund which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year.

Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FNDR Account(s).

Sole / First Holder _____

Second Holder _____

Third Holder _____

* Default Option will be applied in case of no information, ambiguity or discrepancy.

INSTRUCTIONS

This form can be used by existing investors only. Please read the below mentioned instructions carefully before filling up the form. Investors should mandatorily use the application form/SIP debit form & SIP/SWP/STP Form in the KIM booklet, and other standard forms available at the www.dspblackrock.com, for any financial/non-financial transactions. Any transaction received in any non standard form, is liable to be rejected. Investors should provide details/instructions only in the designated space provided in the form. Any details/notes/information/instruction provided at a non designated area of the standard form being used, or any additional details for which space is not designated in the standard form, may not be executed and the AMC will not be liable for the same.

■ **ADDITIONAL PURCHASE & PAYMENT DETAILS:** Investors should fill name of the scheme, plan & option clearly. In case the investor does not fill the plan / option / sub-option properly and clearly or in case of incomplete details, non-clarity or ambiguity, default options will be considered and applied. The cheque or demand draft should be drawn in favour of **'Scheme Name'**, as the case may be, and should be crossed **'Account Payee Only'**. Separate cheques should be given for each separate investment in a different scheme, plan or option. Investors residing in locations where Investor Service centres or Collection Centres are not located are requested to make payment by demand drafts. DD charges for such investors will be borne by the AMC, subject to the standard DD charges, as charged by the State Bank of India. Please refer the Scheme Information Document/KIM for minimum additional purchase amount applicable to each scheme.

■ **CONTACT AND PAN DETAILS:** Applicants should provide contact information such as their telephone number and email address. PAN Details: It is mandatory for all investors except for investment done through Micro SIPs. Such investors must submit a valid and self attested standard photo identification document in lieu of PAN. Please contact The AMC/Registrar for details.

■ **KYC COMPLIANCE FOR INVESTMENTS OF RS.50,000/- OR MORE:** Investors shall note that they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited, if their investments (including SIP) are of value greater than or equal to Rs. 50,000. For more information, please log on to www.dspblackrock.com / www.cvlindia.com/ www.amfiindia.com before investing. Applicants of Rs. 50,000 or more are liable to be rejected without any intimation to the applicants, if KYC requirements are not complied with by all the applicants.

■ **PIN FACILITY:** The Mutual Fund provides the facility to transact over the telephone and may also provide the facility of Internet transactions in future. For this purpose, Unit Holders will be provided with a Personal Identification Number (PIN). PIN can be issued to all investors to avail various services. However, only individuals with mode of holding as 'Single' or 'Any-one or Survivor' are allowed to transact over phone / internet. Applicants who wish to have a PIN should read the terms and conditions of the PIN facility as detailed in the Scheme Information Document, and tick the relevant box in the form to request a PIN. A PIN will be mailed to the Unit Holder entirely at his/her/its own risk at the sole/first holder's registered address.

■ **NOMINATION DETAILS:** The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the Nomination Form. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units. The cancellation of the nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee. Investors who desire to make their nomination in favour of more than one and upto three individuals may do so by writing to the AMC/Registrar, specifying clearly the percentage to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees. The rights in the units will vest in the nominee only upon the death of all unitholders.

■ **EMAIL COMMUNICATION:** Account statements, newsletter, annual reports and other kinds of communication will be sent through email only instead of physical, for investors who provide their email address on the application form. Should they wish to have a hard copy, they are requested to send an email to service@dspblackrock.com.

■ **SYSTEMATIC INVESTMENT PLAN (SIP):** SIP is not available in DSPBRLF, DSPBRDMF, DSPBRSTF, DSPBRFRF, DSPBRMMF. In case of DSPBRREF, DSPBRTEF, DSPBRDF, DSPBRTEF, DSPBRDF, DSPBRDMF, DSPBRSTF, DSPBRFRF, DSPBRMMF and DSPBRWTF, SIP is available only in respect of the Regular Plan. Minimum Investment Amount for each SIP is 1000/- . SIP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st. All Cheques should be of the same date of month / quarter and of the same amount. You can opt for SIP in the Scheme monthly / quarterly basis by issuing post-dated cheques for a pre-defined amount. In case "all four dates" is selected, minimum 12 instalments for each date should be given. The Cheque should be drawn in favour of "Name of the Scheme and Plan" as applicable. A separate SIP Form must be filled for each Scheme / Plan. Please mention your folio number and name on reverse of the Cheque.

■ **SYSTEMATIC WITHDRAWAL PLAN (SWP):** Please allow upto 7 days for SWP to be registered and first SWP transaction to happen. Hence form should be submitted atleast 7 days before SWP start date. SWP is available in all the schemes of DSP BlackRock Mutual Fund. SWP facility can be availed only by the unitholders with a minimum balance of Rs. 25,000/- in the folio. If the balance is less than Rs.25,000/-, SWP may not be registered. SWP facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st. In case of ambiguity / incomplete form, 1st will be treated as default option date. You can opt for SWP for a fixed amount or appreciation on a weekly (on all four SWP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as default option. SWP has to be for minimum of SIX transactions irrespective of frequency i.e. six dates in case of weekly option or six months in case of monthly option or six quarters in case of quarterly option. Your withdrawal request should not be below Rs.1000/- . For investors availing the withdrawal of 'appreciation' option, where in any week, month or quarter, there is no appreciation or is less than Rs.1,000/-, withdrawal as mentioned above, will not be carried out. Even if withdrawal is not carried due to lack of appreciation, the SWP will be terminated at the end of the specified period. You can choose to discontinue this facility by giving 30 days written notice to the Registrar.

■ **SYSTEMATIC TRANSFER PLAN (STP):** Please allow upto 7 days for STP to be registered and first STP transaction to happen. Hence form should be submitted atleast 7 days before STP start date. STP is available in all the schemes of DSP BlackRock Mutual Fund. STP facility can be availed only by the unitholders with a minimum balance of Rs.25,000/- in the folio. If the balance is less than Rs.25,000/-, STP will not be registered. STP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st. In case of ambiguity / incomplete form, 1st will be treated as default option date. You can opt for STP for a fixed amount or appreciation on a weekly (on all four STP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as default option. STP has to be for minimum of SIX transactions/transfers irrespective of frequency i.e. six dates in case of weekly option or six months in case of monthly option or six quarters in case of quarterly option. Your transfer request should not be below Rs.1000/- . For investors availing the transfer of 'appreciation' option, where in any week, month or quarter, there is no appreciation or is less than Rs.1,000/-, switch as mentioned above, will not be carried out. Even if transfer is not carried due to lack of appreciation, the STP will be terminated at the end of the specified period. You can choose to discontinue this facility by giving 30 days written notice to the Registrar.