

# DSP BLACKROCK

# WORLD MINING FUND

Open Ended Fund of Funds Scheme, Investing in International Funds

Offer for Units of Rs. 10 Per Unit for cash during the  
New Fund Offer Period and at NAV based prices upon re-opening

Name of the Mutual Fund: DSP BlackRock Mutual Fund

Name of the AMC: DSP BlackRock Investment Managers Pvt. Ltd.

New Fund Offer Opens on:	November 23, 2009
New Fund Offer Closes on:	December 18, 2009
Scheme Re-opens for continuous sale and repurchase not later than:	January 17, 2010

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website [www.dspblackrock.com](http://www.dspblackrock.com). The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

<b>Investment Objective</b>	The primary investment objective of the Scheme is to seek capital appreciation by investing predominantly in the units of BlackRock Global Funds – World Mining Fund (BGF-WMF). The Scheme may, at the discretion of the Investment Manager, also invest in the units of other similar overseas mutual fund schemes, which may constitute a significant part of its corpus. The Scheme may also invest a certain portion of its corpus in money market securities and/or money market/liquid schemes of DSP BlackRock Mutual Fund, in order to meet liquidity requirements from time to time. There is no assurance that the investment objective of the Scheme will be realised.		
<b>Asset Allocation Pattern of the Scheme</b>	<b>Types of Instruments</b>	<b>Normal Allocation (% of Net Assets)</b>	
		<b>Minimum</b>	<b>Maximum</b>
	1. Units of BGF – WMF <sup>#</sup> or other similar overseas mutual fund scheme(s)	80%	100%
	2. Money market securities and/or units of money market/liquid schemes of DSP BlackRock Mutual Fund	0%	20%
	<sup>#</sup> In the shares of BGF – WMF, an Undertaking for Collective Investment in Transferable Securities (UCITS) III fund.		
<b>Risk Profile of the Scheme</b>	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:  <b>Risks associated with underlying schemes:</b> <ul style="list-style-type: none"> <li>• Special risk considerations relating to BGF - WMF, viz. (a) Emerging markets (b) Sovereign debt (c) Restriction on foreign investment (d) Smaller capitalisation companies and (e) Derivative risk</li> <li>• Liquidity risk on account of investments in international funds</li> <li>• Country risk</li> <li>• Currency risk</li> <li>• Investment policy and/or fundamental attribute change risks associated with investments in international funds</li> <li>• Expense risks associated with investments in international funds</li> <li>• Portfolio disclosure risk associated with investments in international funds</li> <li>• Credit risk &amp; Market risk</li> <li>• Term Structure of Interest Rates (TSIR) risk</li> </ul>		
<b>Plans and Options</b>	<b>Plans</b>	<b>Options (Under each Plan)</b>	
	<ul style="list-style-type: none"> <li>• Regular Plan</li> <li>• Institutional Plan</li> </ul>	<ul style="list-style-type: none"> <li>• Growth</li> <li>• Dividend <ul style="list-style-type: none"> <li>- Payout Dividend</li> <li>- Reinvest Dividend</li> </ul> </li> </ul>	
<b>Applicable NAV</b> (after the Scheme opens for repurchase and sale)	<b>(i) For Purchase and Switch-in</b>		
	Where the application is received on any Business Day at the official points of acceptance of transaction along with a local cheque or a demand draft payable at par at the place of submission of the application: <ul style="list-style-type: none"> <li>• Upto 3.00 p.m. – NAV of the same day</li> <li>• After 3.00 p.m. – NAV of the next Business Day</li> </ul>		
<b>(ii) For Redemption and Switch-out</b>			
Where the application is received on any Business Day at the official points of acceptance of transactions: <ul style="list-style-type: none"> <li>• Upto 3.00 p.m. – NAV of the same day</li> <li>• After 3.00 p.m. – NAV of the next Business Day</li> </ul>			

Minimum Application Amount/ Number of Units		Purchase (First Purchase during NFO & Continuous Offer)	Additional Purchase (Subsequent Purchase)	Repurchase
	<b>Regular Plan</b>	Rs. 5,000 and multiples of Re. 1/- thereafter	Rs. 1,000/- and multiples of Re. 1/- thereafter	Rs. 500/-
	<b>Institutional Plan</b>	Rs. 5 crore and multiples of Re. 1/- thereafter	Rs. 1,000/- and multiples of Re. 1/- thereafter	Rs. 500/-
<b>Minimum installment for SIP</b> (Applicable only during Continuous offer in Regular Plan)	Rs. 1,000/-			
<b>Minimum installment for SWP and STP</b> (Applicable only during Continuous Offer)	Rs. 1,000/-			
<b>Despatch of Repurchase (Redemption) Request</b>	Within 10 working days of the receipt of the redemption request at the authorised centres of DSP BlackRock Mutual Fund.			
<b>Benchmark Index</b>	HSBC Global Mining (cap) Index (in INR terms)			
<b>Dividend Policy</b>	The Trustee intends to declare annual dividend comprising substantially of net income and net capital gains. The Trustee, in its sole discretion, may also declare interim dividends. It should be noted that actual distribution of dividends and the frequency of distribution indicated above are provisional and will be entirely at the discretion of the Trustee.			
<b>Name of the Fund Manager</b>	Vinit Sambre – Dedicated Fund Manager who will manage the overseas investments of the Scheme			
<b>Name of the Trustee Company</b>	DSP BlackRock Trustee Company Private Ltd.			
<b>Expenses of the Scheme (i) Load Structure</b>	<b>Entry Load</b> (Applicable during NFO and Continuous offer)		NIL*	
	<b>Exit Load</b> (As a % of Applicable NAV) (Both Plans)		Holding Period from the date of allotment: < 12 months: 1%; >= 12 months: Nil	
	* In accordance with the requirements specified by SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009 no entry load will be charged with respect to applications for purchase/additional purchase/switch-in accepted by DSP BlackRock Mutual Fund. Similarly, no entry load will be charged with respect to applications for registrations under Systematic Investment Plans (SIP) /Systematic Transfer Plans (STP).  The upfront commission on investment made by the investor shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.			
<b>(ii) Recurring Expenses (Both Plans)</b>	0.75% of the average weekly net assets of the Scheme.  Investors shall bear the recurring expenses of the underlying schemes in which the Scheme will invest, in addition to the recurring expenses of the Scheme.			
<b>Performance of the Scheme</b>	This being a new Scheme, there is no performance track record.			
<b>Tax treatment for the Investors (Unit Holders)</b>	Investors are advised to refer to the details in the SAI and also independently refer to their tax advisor.			
<b>Daily Net Asset Value (NAV) Publication</b>	NAV will be declared on all Business Days and will be made available by 10 a.m. of the immediately succeeding Business Day. NAV will be published in two newspapers and can also be viewed on www.dsblackrock.com and www.amfiindia.com. You can also call at: 1800-200-4499.			
<b>For Investor Grievances please contact</b>	Computer Age Management Services Pvt. Ltd., Rayala Towers, Tower I, 3rd Floor, 158 Anna Salai, Chennai – 600 002. Tel: 044-2852 1596 / 0516 Fax: 044-4203 2952 E-mail id: enq_d@camsonline.com OR DSP BlackRock Mutual Fund, Maker Chamber VI, Office No. 126/127, 12th Floor, Jarnalal Bajaj Road, Nariman Point, Mumbai - 400 021. Tel.: 022-66718000; Fax: 022-66718031; E-mail id: service@dsblackrock.com			
<b>Unit Holders' Information</b>	<p><b>Account Statements:</b> Account statement stating the number of Units will be sent by ordinary post and/or electronic mail to each Unit Holder within the following periods:</p> <ul style="list-style-type: none"> <li>• For purchases made during NFO: Not later than 30 days from the close of the NFO Period of the Scheme.</li> <li>• For fresh/additional purchase during Continuous offer: Within 5 Business Days from the date of allotment of Units</li> <li>• For redemption/switch: Within 3 Business Days from the date of acceptance of redemption request or Applicable NAV, whichever is later.</li> <li>• For SIP/STP: Within 10 Business Days from the first investment, and subsequently at the end of each quarter (March, June, September, December).</li> </ul> <p>For more details, please refer the SID.</p> <p><b>Half Yearly Portfolio Disclosure:</b> Full portfolio in the prescribed format shall be disclosed either by publishing it in one national English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated or by sending it to the Unit Holders within one month from the end of each half-year, that is as on March 31 and September 30. It shall also be displayed on www.dsblackrock.com.</p> <p><b>Annual Financial Results:</b> The annual report of the Schemes or the abridged summary thereof will be sent to all Unit Holders not later than four months from the end of the relevant financial year i.e. March 31 each year. Whenever the report is sent in the abridged form, the full annual report will be available for inspection at the registered office of the Trustee, and a copy made available on request to the Unit Holders on payment of a nominal fee. The full Annual Report shall also be displayed on www.dsblackrock.com.</p>			
<b>Suspension of Subscription/ Switches in the Scheme and termination of SIP/STP under special circumstances</b>	The AMC/Trustee reserves the right to temporarily suspend subscriptions in/switches into the Scheme, or terminate the SIP/STP into the Scheme, if the limits prescribed by SEBI for overseas investments by the Mutual Fund are exceeded/expected to be exceeded. The present limit allowed by SEBI for overseas investments is US\$ 600 mn for all schemes of the Mutual Fund put together.			

Name and AMFI Reg. No. (ARN)	Sub Agent's Name and ARN	NFO Branch	NFO Serial No.	Registrar's No.

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**1. FIRST APPLICANT'S DETAILS**

**Name of First Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**Existing Folio No** \_\_\_\_\_ / \_\_\_\_\_ (If you have an existing folio number with PAN and KYC validation, please mention the number here and skip to section 5. Mode of holding will be as per existing folio number)

**Date of Birth** (Mandatory for minor) DD / MM / YYYY Gender  Male  Female

**Email ID** (in capital) [Refer instruction 4.] \_\_\_\_\_

**PAN** (1st applicant / guardian) \_\_\_\_\_ **Enclosed** (Please tick )  Attested PAN card copy  
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

**Name of Guardian** if minor / **Contact Person** for non-individuals / **PoA** Holder name: \_\_\_\_\_ **PoA PAN\*** \_\_\_\_\_

**Address for Correspondence** (P.O. Box address is not sufficient) \*PoA holder should be KYC compliant and also attach KYC Acknowledgement

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
City \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_ State \_\_\_\_\_

STD Code \_\_\_\_\_ Telephone \_\_\_\_\_ Fax \_\_\_\_\_

Mobile +91 \_\_\_\_\_

**Overseas Address** (mandatory for NRI / FII applicants in addition to mailing address in India) (P. O. Box address is not sufficient)

\_\_\_\_\_  
\_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_  
Country \_\_\_\_\_

**Status of Sole/1st Applicant** (Please tick )  Individual  On Behalf Of Minor  HUF  Sole Proprietorship  NRI (Repatriable)  NRI (Non-Repatriable)  
 Partnership Firm  Company  AOP/BOI  Body Corporate  Trust  Society  FII  FOF - MF schemes  Provident Fund  Superannuation  
/ Pension Fund  Gratuity Fund  Bank / FI  Government Body  Insurance Companies  Others \_\_\_\_\_ (Please specify)

**Occupation** (Please )  Service  Professional  Business  Housewife  Retired  Student  Other \_\_\_\_\_

**2. JOINT APPLICANTS' DETAILS**

**Name of Second Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**PAN** (2nd applicant) \_\_\_\_\_ **Enclosed** (Please tick )  Attested PAN card copy  
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

**Name of Third Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**PAN** (3rd applicant) \_\_\_\_\_ **Enclosed** (Please tick )  Attested PAN card copy  
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

**Mode of Holding** (Please tick )  Single  Anyone or survivor  Joint (Default)

**ACKNOWLEDGEMENT SLIP** (To be filled in by the investor)

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.  
From \_\_\_\_\_  
vide cheque number \_\_\_\_\_ in DSP BlackRock World Mining Fund  
Checklist  All Investments  Bank Mandate  Attested PAN Card copy  KYC Compliance

**DSP BLACKROCK MUTUAL FUND**

**Application No.**  
\_\_\_\_\_

### 3. BANK ACCOUNT DETAILS (Refer Instruction 3) (Mandatory)

Bank Name														
Bank A/c No.								A/c Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO	<input type="checkbox"/> FCNR	<input type="checkbox"/> OTHERS
Branch Address														
						City				Pin				
9 Digit MICR code	(This is a 9 digit number next to your cheque number)					IFSC code: (11 digit)								

### 4. OTHER FACILITIES (Please )

I would like to receive a PIN (for telephone & internet transactions, as and when started)

### 5. INVESTMENT DETAILS (Please )

#### DSP BLACKROCK WORLD MINING FUND

Plan  Regular Plan\*  Institutional Plan

Option  Growth Option\*  Payout Dividend  Reinvest Dividend

\* default option: this will be applied in case of no information, ambiguity or discrepancy.

### 6. PAYMENT DETAILS (cheque favouring "DSP BlackRock World Mining Fund")

(Default plan/option/sub option will be applied in case of no information, ambiguity or discrepancy)

Scheme Name	DSP BLACKROCK WORLD MINING FUND	Plan		Option & Sub Option	
Cheque / DD No.		Cheque/DD Date	D D / M M / Y Y Y Y		
Amount of Cheque/DD (Rs.) (i)		Drawn on Bank/ Branch Name			
DD charges, if any, (Rs.) (ii)					
Total Amount in Words (Rs.) (i) + (ii)		Account Type (Please <input checked="" type="checkbox"/> )	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR

### 7. NOMINATION DETAILS (Refer Instruction 6)

	Nominee	Name of Guardian (In case of Minor)	% of Investment Allocation
Nominee 1			
Address			
Nominee 2			
Address			
Nominee 3			
Address			Total = 100%

### 8. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions, I/We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

#### Applicable to NRIs only

I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

If NRI  Repatriation basis  Non-Repatriation basis

SIGNATURE (S)

Sole / First Applicant/ Guardian

Second Applicant

Third Applicant

Email: [service@dsblackrock.com](mailto:service@dsblackrock.com)  
Website: [www.dsblackrock.com](http://www.dsblackrock.com)

Contact Centre: 1800 200 4499

Checklist  All Investments  Bank Mandate is provided  
 PAN Card copy (Attested with a seal by a Distributor, Bank Manager, Notary)  
 KYC Acknowledgement

## INSTRUCTIONS

Please read carefully the Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) before filling up the application form. Investors are deemed to have read and accepted the terms, as prevailing on the date of application being accepted and units being allotted, subject to which this offer is being made, and bind themselves to the terms upon signing the application form.

All applications will be accepted subject to verification. Invalid or incomplete applications are liable to be rejected after acceptance and verification, and information will be sent to the address by general post.

All documents required to be submitted with the application form or later, like power of attorney, etc. should be in English language or notarized translated copy in English language.

**Form Submission:** NFO application forms / switch transactions from existing scheme(s) may be submitted at any of the Investor Service Centres of CAMS (Registrar) or the AMC as listed in this document upto 3:00 p.m. on the NFO closing date. Forms can also be posted to any of these centres subject to the same reaching the centres latest by 12:00 noon on the NFO closing date. Any application received after the specified time, may be rejected at the sole discretion of the AMC/Registrar. In case of switch transactions from existing scheme(s) to DSP BlackRock World Mining Fund during NFO, the switch-out shall be done at the Applicable NAV based on the cut-off time for the existing scheme and the switch into DSP BlackRock World Mining Fund will be treated like a purchase into the Scheme for allotment purpose.

### General Instructions

- a. This application form may be used by both resident and non-resident investors.
- b. The application form should be filled in English in BLOCK LETTERS and the applicant's names and addresses should be provided in full (P.O. Box No. alone is not sufficient). Please tick [✓] in the appropriate box [□], where boxes have been provided.
- c. Application forms that fall under any of the following categories are liable to be rejected and will not be returned to the customers. If applicable, the money paid will be refunded/returned without interest.
  - i. Application forms incomplete in any respect or where there is any over writing on the form without the applicant's counter signature
  - ii. Application forms invalid / incomplete /ambiguous / without supporting documents in any respect.
  - iii. Application forms that are not accompanied by a payment instrument for the amount payable, such as a cheque or a demand draft, or an account-to-account transfer instruction to credit the account of DSP BlackRock Mutual Fund (Designated Account) on the same day.
  - iv. Application forms that the Trustee chooses to reject for any other reason determined at its sole discretion.
- d. No separate receipt will be issued for the application money. The bank / Investor Service Centre / Collection Centre will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application, subject to verification.
- e. Irrespective of mode of holding, the sole/first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.
- f. All allotments will be provisional, subject to realisation of payment instrument and subject to the AMC having been reasonably satisfied that the Mutual Fund has received clear funds. Any redemption or switch out transaction in the interim is liable to be rejected at the sole discretion of the AMC.
- g. Any subsequent changes in static information like address, bank details, dividend sub option etc. will be based on written communication from investors. These changes will be effected within 5 days of the valid signed request reaching the office of the Registrar at Chennai, and any interim financial transactions will be effected with last available/registered details only. Request for change in address for KYC compliant folios should be submitted to CDSL Ventures Limited.
- h. Investors shall note that they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited, if their investments (including SIP) are of value greater than or equal to Rs. 50,000. For more information, please log on to [www.dspblackrock.com](http://www.dspblackrock.com) / [www.cvlindia.com](http://www.cvlindia.com) / [www.amfiindia.com](http://www.amfiindia.com) before investing. Applications of Rs. 50,000 or more are liable to be rejected without any intimation to the applicants, if KYC requirements are not complied with by all the applicants.
- i. If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "DIRECT" should be mentioned in the space provided for ARN Number. Any subsequent change / updation / removal of broker code will be based

on the written request from the Unit holder and will be on a prospective basis only from the date when the Registrar executes such written instruction.

- j. Investors should mandatorily use the application form/SIP debit form & SIP/SWP/STP form in the KIM booklet, and other standard forms available at the ISCs/[www.dspblackrock.com](http://www.dspblackrock.com), for any financial/non-financial transactions. Any transaction received in any non standard form, is liable to be rejected.
- k. Investors should provide details/instructions only in the space provided in the form. Any details/notings/information/instruction provided at a non designated area of the application form being used, or any additional details, for which space is not designated in the application form, may not be executed and the AMC.
- l. The AMC and its Registrar reserve the right to disclose the details of investors and their transactions to third parties viz. banks, couriers, distributors and any other organization for the purpose of transaction confirmations and/or execution, redemptions, payouts, data validations, compliance with legal and regulatory requirements or for complying with anti-money laundering requirements.

### 1. First Applicant's Details:

- a. Applicants should fill in all details as requested in the relevant section. Name should be provided in full.
- b. If the applicant/s already has/have a folio with the Mutual Fund, the applicant should fill in the folio number, in order to invest in the same folio. Investment in the same folio will be possible only if the name(s) of the holder(s), the order of the holders, the mode of holding and the folio no. are the same. The names of the holders, the mode of holding, etc., will remain as per the details available in the existing folio.
- c. PAN shall be the sole identification number and is mandatory for all investors (including joint applicants, guardians, PoA holders and NRIs), irrespective of the amount of transaction. Accordingly, investors shall be required to submit a photocopy of the PAN card, provided that it shall either be verified with the originals at the Collection Center or be verified/attested by AMFI registered distributors, bank managers or judicial authorities.
- d. Investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification document in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected.
- e. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN, provided they mention their Sikkim address on application form and provide a proof of such address, duly verified by bank managers or judicial authorities.
- f. If the application is on behalf of a minor, the guardian's name and the date of birth of the minor should be provided.
- g. Applicants should provide contact information such as correspondence address, telephone number/s and email address, status of first applicant and occupation. In the case of NRIs, overseas address should be provided. To receive communication at an Indian address, NRIs should separately communicate Indian address and their folio to the Mutual Fund.
- h. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a duly certified true copy thereof) duly notarized, or the relevant resolution or authority to make the application (or duly certified copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and/or Certificate of Registration. All documents should be in English language or notarized translated copy in English language.

### 2. Joint Applicants' Details:

- a. Joint applicants must fill in all details as requested in section 2.
- b. PAN and KYC compliance is mandatory for all applicants, irrespective of mode of holding. For more details, please refer General Instruction (h) and instruction 1(c) and 1(d).
- c. Applicants should specify the mode of holding. If the mode of holding is not specified or is ambiguous, the default option for such applications will be 'Joint'.
- d. In the case of joint holders, the first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.

### 3. Bank Account Details:

- a. To protect the interests of investors from fraudulent encashment of cheques and as per the SEBI Regulations, it is mandatory for all investors of mutual fund

schemes to provide their bank mandate. **Applications without the mandatory bank details are liable to be rejected.**

- b. The investor agrees that the proceeds towards redemptions and dividends will be despatched by a reasonable mode of despatch like courier, post, UCP, etc. in case of cheque/demand draft or directly credited to the bank account (as per the details mentioned by the investor) in case of using direct credit facility, RTGS or NEFT, entirely and solely at the risk of the investor. The investor will not hold the Mutual Fund or the AMC or the Registrar responsible for any non-receipt or delay of receipt of redemption & dividend proceeds due to any negligence or deficiency in service by the courier company, postal authorities or the bank executing direct credits/RTGS/NEFT, or due to incorrect bank account details provided by the investor.
- c. Any subsequent request for change of bank mandate details will be entertained only if the customer provides any of the following along with the designated change request form.
  - i. copy of a cheque of new bank account or
  - ii. a cancelled cheque pertaining to new bank account or
  - iii. a letter from new bank certifying bank account details including MICR code & IFSC Code (where available)
- d. Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be processed within five days of documents reaching the head office of the Registrar and any financial transaction request received in the interim will be carried out with previous details only.

#### **4. Other Facilities / Email Communication:**

- a. Account statements, newsletters, annual reports and other kinds of communication can be sent efficiently via email, as provided for in the application form; hence applicants are encouraged to provide their email address in the application form.
- b. Account statements, newsletters, annual reports and other kinds of communication will be sent through email instead of physical mode, for investors who provide their email address on the application form. Should they wish to have a hard copy, they are requested to send an email to [service@dspblackrock.com](mailto:service@dspblackrock.com).
- c. It is deemed that the Unit Holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.
- d. PIN facility: The Mutual Fund may provide the facility to transact over the telephone or on the Internet. For this purpose, Unit Holders will be provided with a Personal Identification Number (PIN). PIN can be issued to all investors to avail of various services. Applicants who wish to receive a PIN should read the terms and conditions of the PIN facility in the relevant SID and tick the relevant box in Section 4 of the application form to request for a PIN. A PIN will be mailed to the Unit Holder entirely at his/her/its own risk.

#### **5. Investment and Payment Details:**

- a. Investors should fill in the desired Plan / Option / Sub Option clearly in the space provided in the application form. In case the investor does not fill in the desired Plan / Option / Sub Option properly and clearly or in the case of incomplete details, lack of clarity or ambiguity, the default option will be considered and applied. The Mutual Fund will not entertain any request from Unit Holders for a change in Plan / Option / Sub Option after the application is accepted.
- b. Any change in dividend sub option due to additional investment or customer request will be applicable to all existing Units in the dividend option of the scheme concerned.
- c. Payments by cash, stock invests, credit cards, post-dated cheques (except through SIP), outstation cheques and post-dated account-to-account transfer instructions to credit the Designated Account will not be accepted.
- d. Investors residing in locations where Investor Service Centres or Collection Centres are not located are requested to make payment by demand drafts. Demand draft charges for such investors will be borne by the AMC, subject to the standard demand draft charges, as charged by the State Bank of India, and the investors attaching proof of the charges.
- e. The cheque or demand draft should be payable locally at the centre where the application is deposited, and should be drawn on any bank that is a member of the Bankers' Clearing House.
- f. The cheque or demand draft should be drawn in favour of 'DSP BlackRock World Mining Fund', as the case may be, and should be crossed 'Account Payee Only'.
- g. A separate cheque should be given for each separate investment in a different scheme or plan or option.
- h. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested. NRI Applicants should also clearly tick on account type as NRE or NRO or FCNR to determine the repatriation status of the investment amount. The AMC and the Registrar may ascertain the repatriation status purely based on the details

provided in the NFO form under Investment and Payment details and will not be liable for any incorrect information provided by the applicants. Applicants will have to coordinate with their authorized dealers and banks to repatriate the investment amount as and when needed.

- i. For NRIs, FIIs, and Persons of Indian Origin investing on a non-repatriable basis, payments may be made by cheques or demand drafts drawn on Non-Resident Ordinary (NRO) accounts or Non-Resident Special Rupee (NRSR) accounts, payable at the city where the application form is submitted.
- j. Returned cheques are liable not to be presented again for collection, and the accompanying application could also be rejected. In case returned cheques are presented, the necessary charges including returned charges may be debited to the investor.

#### **6. Nomination Details:**

- a. Nomination can be made only by individuals applying for / holding Units on their own behalf singly or jointly. Non-individuals (including societies, trusts, bodies corporate, partnership firms, etc.), Karta of Hindu Undivided Families (HUF) and holders of Power of Attorney cannot nominate. If Units are held jointly, all joint holders should sign the Nomination Form.
- b. A minor can be nominated, and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his/her office, or a religious or charitable trust.
- c. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. An NRI can be a nominee, subject to the exchange controls in force from time to time.
- d. Nomination in respect of Units stands rescinded upon redemption of the Units.
- e. Transfer of Units in favour of a nominee(s) shall be valid discharge by the AMC against the legal heir.
- f. Cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
- g. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the Units in favour of the nominee(s).
- h. The rights in the Units will vest in the nominee(s) only upon the death of all Unit Holders.
  - i. Nomination can be made in favour of more than one, and upto three individuals. Investors who desire to make nomination in respect of multiple nominees should clearly specify the percentages to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees.

#### **7. Declaration & Signatures:**

- a. Signature(s) should be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India.
- b. Thumb impressions and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a magistrate or a Notary Public or a special Executive Magistrate under his/her official seal.
- c. Applications by minors should be signed by their guardians. In the case of an HUF, the Karta should sign on behalf of the HUF.
- d. Authorised officials should sign the form under their official designation and company seal. A list of specimen signatures of the authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment should be submitted.

## List of Official Points of Acceptance of Transactions (NFO & ongoing subscriptions)

---

### DSP BlackRock Investment Managers Pvt. Ltd. - Investor Service Centres

<b>Ahmedabad</b>	3rd Eye One, Office No. 301, 3rd Floor, Opp. Hovmor Restaurant, Nr Panchvati Circle, C.G. Road, Ahmedabad - 380 006.
<b>Bengaluru</b>	19/5 & 19/6, Kareem Towers, Cunningham Road, Bengaluru - 560 052.
<b>Bhubneshwar</b>	Lotus House, Office No 3, 2nd Floor, 108/A, Kharvel Nagar, Unit-3, Janpath, Bhubneshwar - 751 001.
<b>Chandigarh</b>	Ground Floor, SCO 40-41, Sector 9 D, Madhya Marg, Chandigarh - 160 017.
<b>Chennai</b>	1st Floor, SPS Buildings, Door No. 185, Annasalai, Chennai - 600 002.
<b>Coimbatore</b>	1st Floor, East Wing, Tristar Towers, 657, Avinashi Road, Coimbatore - 641 037.
<b>Goa</b>	4th Floor, Mathias Plaza, 18th June Road, Panaji, Goa - 403 001.
<b>Guwahati</b>	Mayur Gardens, Shop No. 5, Upper Ground Floor, G. S. Road, Guwahati - 781 005.
<b>Hyderabad</b>	Mahavir Chambers, Office No. 103, Door No. 3-6-363 & 3-6-1/1, Himayathanagar Road, Basheerbagh, Hyderabad - 500 029.
<b>Indore</b>	206, 2nd Floor, Starlit Tower, 29/1 Y N Road, Indore - 452 001.
<b>Jaipur</b>	201 - 204, Green House, Above Axis Bank, O15, Ashok Marg, C Scheme, Jaipur - 302 001.
<b>Jamshedpur</b>	Gayatri Enclave, Office No. 3-C, 2nd Floor, K Road, Bistupur, Jamshedpur - 831 001
<b>Kanpur</b>	Kan Chambers, Office No. 701-703, 7th Floor, 14/113, Civil Lines, Kanpur - 208 001.
<b>Kochi</b>	40/1045 H1, 6th Floor, Amrithaa Towers, Opp. Maharajas College Ground, M.G Road, Cochin - 682 011.
<b>Kolkata</b>	301 & 309, Lords, 3rd Floor, 7/1 Lord Sinha Road, Kolkata - 700 071.
<b>Lucknow</b>	Speed Motors Building, 3rd Floor, 3-Sahanajaf Road, Lucknow - 226 001.
<b>Ludhiana</b>	Regalia Heights, SCO No. 32, Ground Floor, Feroze Gandhi Market, Pakhowal Road, Ludhiana - 141 001.
<b>Mangalore</b>	Maximus Commercial Complex, Office No. UGI - 5, Light House Hill Road, Mangalore - 575 001.
<b>Mumbai</b>	Maker Chamber VI, Office No. 126/127, 12th Floor, Jamnalal Bajaj Road, Nariman Point, Mumbai - 400 021.
<b>Nagpur</b>	Milestone, Office No. 108 & 109, 1st Floor, Ramdas Peth, Wardha Road, Nagpur - 440 010.
<b>Nashik</b>	Bedmutha's Navkar Heights, Office No. 1 & 2, 3rd Floor, New Pandit Colony, Saharanpur Road, Nashik - 422 002.
<b>New Delhi</b>	Dr Gopal Das Bhavan, Upper Ground Floor, 28, Barakhamba Road, New Delhi - 110 001.
<b>Patna</b>	Dukhan Ram Plaza, Office No. 304, 3rd Floor, Exhibition Road, Patna - 800 001.
<b>Pune</b>	306 Business Guild, Plot # 87/2, Law College Road, Erandawane, Pune - 411 004
<b>Rajkot</b>	Hem Arcade, Office No. 303, 3rd Floor, Kathiawad Gymkhana Road, Rajkot - 360 001.
<b>Surat</b>	International Trade Center, Office No. G-28, Majura Gate Crossing, Ring Road, Surat - 395 002.
<b>Vadodara</b>	401, 4th Floor, Sakar Complex, Haribhakti Colony, Old Padra Road, Vadodara - 390 007.
<b>Vishakapatnam</b>	Cabin Premises No. 11, First Floor, Eswar Arcade, 1 Floor, 47-11-1/5, Dwarka Nagar, 1 Lane, Vishakapatnam - 530 016.

## List of Official Points of Acceptance of Transactions\* (NFO & ongoing subscriptions)

### CAMS Investor Service Centres and Transaction Points

<b>Ahmedabad</b>	402-406, 4Th Floor - Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad - 380 006
<b>Bengaluru</b>	Trade Centre, 1st Floor, 45, Dikensen Road, ( Next To Manipal Centre ), Bengaluru - 560 042
<b>Bhopal</b>	Plot No.13, Major Shopping Center, Zone-I, M.P.Nagar, Bhopal - 462011
<b>Bhubaneswar</b>	101/5, Janpath, Unit III, Bhubaneswar - 751 001
<b>Chandigarh</b>	SCO, 80-81, IIIrd F, Sector 17 C, Chandigarh - 160 017
<b>Chennai</b>	Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600 034
<b>Coimbatore</b>	Old # 66 New # 86, Lokamanya Street (West), Ground Floor, R.S.Puram, Coimbatore - 641 002
<b>Goa</b>	No.108, 1St Floor, Gurudutta Bldg, Above Weekender, M G Road, Panaji, Goa - 403 001
<b>Gurgaon</b>	SCO - 17, 3Rd Floor, Sector-14, Gurgaon - 122001
<b>Guwahati</b>	A.K. Azad Road, Rehabari, Guwahati - 781008
<b>Hyderabad</b>	208, II Floor, Jade Arcade, Paradise Circle, Secunderabad - 500 003
<b>Indore</b>	101, Shalimar Corporate Centre, 8-B, South Tukogunj, Opp.Greenpark, Indore - 452 001
<b>Jaipur</b>	R-7, Yudhisthir Marg ,C-Scheme, Behind Ashok Nagar Police Station, Jaipur - 302 001
<b>Jamshedpur</b>	Millennium Tower, "R" Road, Room No:15 First Floor, Bistupur, Jamshedpur - 831001
<b>Kanpur</b>	I Floor 106 To 108, City Centre Phase II, 63/ 2, The Mall, Kanpur - 208 001
<b>Kochi</b>	40 / 9633 D, Veekshanam Road, Near International Hotel, Kochi - 682 035
<b>Kolkata</b>	Lords Building, 7/1, Lord Sinha Road, Ground Floor, Kolkata - 700 071
<b>Lucknow</b>	Off # 4, 1st Floor, Centre Court Building, 3/C, 5 - Park Road, Hazratganj, Lucknow - 226 001
<b>Ludhiana</b>	U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana - 141 002
<b>Mangalore</b>	No. G 4 & G 5, Inland Monarch, Opp. Karnataka Bank, Kadri Main Road, Kadri, Mangalore - 575 003
<b>Mumbai</b>	Rajabhadur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai - 400 023
<b>Nagpur</b>	145 Lendra, New Ramdaspath, Nagpur - 440 010
<b>Nasik</b>	Ruturang Bungalow, 2 Godavari Colony, Behind Big Bazar, Near Boys Town School, Off College Road, Nasik - 422005
<b>New Delhi</b>	304-305 III Floor, Kanchenjunga Building, 18, Barakhamba Road, Cannaukt Place, New Delhi - 110 001
<b>Patna</b>	Kamlalaye Shobha Plaza, Ground Floor, Near Ashiana Tower, Exhibition Road, Patna - 800 001
<b>Pune</b>	Nirmiti Eminence, Off No. 6, I Floor, Opp Abhishek Hotel Mehendale Garage Road, Erandawane, Pune - 411 004
<b>Raipur</b>	C-24, Sector 1, Devendra Nagar, Raipur - 492004
<b>Rajkot</b>	Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot - 360001
<b>Surat</b>	Office No 2 Ahura -Mazda Complex, First Floor, Sadak Street, Timalyawad, Nanpura, Surat - 395 001
<b>Trivandrum</b>	R S Complex, Opposite Of Lic Building, Pattom Po, Trivandrum - 695004
<b>Vadodara</b>	103 Aries Complex, Bpc Road, Off R.C. Dutt Road, Alkapuri, Vadodara - 390 007
<b>Visakhapatnam</b>	47/ 9 / 17, 1st Floor, 3rd Lane , Dwaraka Nagar, Visakhapatnam - 530 016

\*Upon reopening, applications for ongoing sale and repurchase can be submitted at all CAMS ISCs and Transaction Points

1. Applications with outstation cheques are liable to be rejected as and when identified. Outstation applications collected by collection centres for onward dispatch to payable location will be on best effort basis without any liability on the part of the collection centre and the AMC.
2. NFO applications sent via post should reach the collection centre where the cheque is payable before 12:00 pm on the day the NFO is closed by the AMC.
3. While receiving forms, collection centres may not verify the forms with respect to completeness. Incomplete / Incorrect forms will be rejected post verification by the Registrar.

### Special instructions for NRI Applications

1. NRI applications can be submitted at any of the collection centers.
2. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested.
3. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided in the NFO form under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s).