

# DSP BLACKROCK MICRO CAP FUND

## An Open ended Equity Growth Scheme

Offer of Units at NAV based prices

- Name of the Mutual Fund: DSP BlackRock Mutual Fund
- Name of the AMC: DSP BlackRock Investment Managers Pvt. Ltd.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.dspblackrock.com. The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

<b>Investment Objective</b>	The primary investment objective is to seek to generate long term capital appreciation from a portfolio that is substantially constituted of equity and equity related securities, which are not part of the top 300 companies by market capitalization. From time to time, the Investment Manager will also seek participation in other equity and equity related securities to achieve optimal portfolio construction. This shall be the fundamental attribute of the Scheme.																													
<b>Asset Allocation Pattern of the Scheme</b>	<b>Types of Instruments</b>	<b>Normal Allocation (% of Net Assets)</b>																												
	1. (a) Equity and equity related securities which are not part of the top 300 stocks by market capitalization	65% - 100%																												
	1. (b) Equity and equity related securities which are in the top 300 stocks by market capitalization	0% - 35%																												
	Of 1(a) & (b) above, investment in ADRs, GDRs and foreign securities	0% - 25%																												
	2. Debt* and Money Market Securities	0% - 35%																												
	*Debt securities may include securitized debt upto 10% of net assets of the Scheme																													
<b>Investment Strategy of the Scheme</b>	The Investment Manager will use a disciplined quantitative analysis of financial operating statistics. In picking out individual investment opportunities for the portfolio, among the defined universe eligible for investment, the Investment Manager will seek both value and growth. Value is discerned when the Investment Manager believes that the long term growth potential of a company is not fully reflected in the market price of the company's securities and which potential it seeks to better every year capitalising on its various strengths, which could mean strong brand equity, growing market share, strong management and technological excellence, among others. For detailed note on Investment Strategy, please refer the SID.																													
<b>Risk Profile of the Scheme</b>	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below: (i) Market Liquidity Risk (ii) Liquidity Risk on account of unlisted securities (iii) Credit Risk & Market Risk (iv) Term Structure of Interest Rates (TSIR) Risk (v) Credit Rating Risk (vi) Risk factors associated with Equity Investments in micro capitalization companies (vii) Risks associated with transaction in Units through Stock Exchange mechanism																													
<b>Risk Management Strategies</b>	<b>Risk Factors</b>	<b>Risk Mitigants/Management Strategy</b>																												
	Market Liquidity Risk and investment in unlisted securities	Limits for liquidity at aggregate portfolio level are monitored through internal fund manager guidelines. Also, the weight assigned to a security is dependent on the market liquidity of the security. Investment into unlisted securities will be minimal and regularly monitored by the risk management team.																												
	Credit Risk & Market Risk	Invest in short duration fixed income securities having high credit quality																												
	Term Structure of Interest Rates (TSIR) Risk	Invest in short duration fixed income securities having low probability of negative returns on account of increase in interest rates																												
	Risk factors associated with Equity Investments in micro capitalization companies	This is the primary risk in the Scheme as at least 65% of the NAV will be invested in companies, which are outside the top 300 companies by market capitalization. The Investment Manager endeavours to invest in companies, where adequate due diligence has been performed by the Investment Manager.																												
<b>Plans &amp; Options</b>	Plans – Regular & Institutional Options – Only Growth option is available under both Plans																													
<b>Applicable NAV</b>	(i) For Purchase and Switch-in: Where the application is received on any Business Day at the official points of acceptance of transaction along with a local cheque or a demand draft payable at par at the place of submission of the application: • Upto 3.00 p.m. – NAV of the same day • After 3.00 p.m. – NAV of the next Business Day (ii) For Redemption/Switch-out: Where the application is received on any Business Day at the official points of acceptance of transactions: • Upto 3.00 p.m. – NAV of the same day • After 3.00 p.m. – NAV of the next Business Day																													
<b>Minimum Application Amount/Number of Units</b>		<b>First Purchase</b>	<b>Additional Purchase</b>	<b>Repurchase</b>																										
	Regular Plan	Rs. 10,000 and multiples of Re. 1/- thereafter	Rs. 1,000 and multiples of Re. 1/- thereafter	Rs. 500																										
	Institutional Plan	Rs. 5 crore and multiples of Re. 1/- thereafter	Rs. 5 lakh and multiples of Re. 1 thereafter	Rs. 500																										
<b>Minimum installment amount for SIP, SWP and STP</b>	Rs. 1,000/- Note: This facility is currently not available for transactions carried out through the stock exchange mechanism.																													
<b>Despatch of redemption proceeds</b>	Within 10 working days of the receipt of the redemption request at any of the official points of acceptance of transaction of DSP BlackRock Mutual Fund.																													
<b>Benchmark Index</b>	BSE Small Cap Index																													
<b>Name of the Fund Managers</b>	Mr. Apoorva Shah Mr. Vinit Sambre (Dedicated Fund Manager for managing overseas investments)																													
<b>Name of Trustee Company</b>	DSP BlackRock Trustee Company Pvt. Ltd.																													
<b>Performance of the Scheme</b>	<b>(a) Absolute Returns</b>		<b>(b) Compounded Annualised Returns as of May 26, 2010</b>																											
	<table border="1"> <caption>Absolute Returns Data</caption> <thead> <tr> <th>Fiscal Year</th> <th>DSPBRMCF (%)</th> <th>BSE Small Cap Index (%)</th> </tr> </thead> <tbody> <tr> <td>FY 07-08</td> <td>-0.27%</td> <td>1.61%</td> </tr> <tr> <td>FY 08-09</td> <td>-5.87%</td> <td>-5.95%</td> </tr> <tr> <td>FY 09-10</td> <td>17.27%</td> <td>15.42%</td> </tr> </tbody> </table>		Fiscal Year	DSPBRMCF (%)	BSE Small Cap Index (%)	FY 07-08	-0.27%	1.61%	FY 08-09	-5.87%	-5.95%	FY 09-10	17.27%	15.42%	<table border="1"> <thead> <tr> <th>Period</th> <th>DSPBRMCF (Regular Plan)</th> <th>BSE Small Cap Index^</th> </tr> </thead> <tbody> <tr> <td>Last 1 Year</td> <td>78.83%</td> <td>43.40%</td> </tr> <tr> <td>Since Inception</td> <td>12.84%</td> <td>4.41%</td> </tr> <tr> <td>NAV/Index Value (May 26*)</td> <td>Rs. 14.283</td> <td>8,313.99</td> </tr> <tr> <td>Date of Allotment</td> <td colspan="2">14th June 2007</td> </tr> </tbody> </table>		Period	DSPBRMCF (Regular Plan)	BSE Small Cap Index^	Last 1 Year	78.83%	43.40%	Since Inception	12.84%	4.41%	NAV/Index Value (May 26*)	Rs. 14.283	8,313.99	Date of Allotment	14th June 2007
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Note: ^Benchmark. *Last declared NAV. As per SEBI standards for performance reporting, the "since inception" returns are calculated on Rs. 10/- invested at inception. For this purpose the inception date is deemed to be the date of allotment. The returns shown are for the Growth option. <b>Past performance may or may not be sustained in future and should not be used as a basis for comparison with other investments.</b>																														

<b>Dividend Policy</b>	The Scheme offers only a Growth Option, hence no dividends will be declared in the Scheme. The Trustee, however, reserves the right to declare a dividend and the actual distribution thereof and the frequency of distribution are entirely at the discretion of the Trustee					
<b>Expenses of the Scheme</b>						
<b>(i) Load Structure</b>	<b>Entry Load</b>	Nil				
	<b>Exit Load (both Plans)</b>	Holding period from the date of allotment: < 12 months: 1%; >= 12 months: Nil				
<b>(ii) Recurring Expenses (% of the average weekly net assets)</b>		<b>First Rs. 100 crore</b>	<b>Next Rs. 300 crore</b>	<b>Next Rs. 300 crore</b>	<b>Balance</b>	<b>Actual Expenses (2009-2010)</b>
	<b>Regular Plan</b>	2.50%	2.25%	2.00%	1.75%	2.08%
	<b>Institutional Plan</b>	1.45%	1.45%	1.45%	1.45%	N.A.
<b>Tax treatment for the Investors (Unit holders)</b>	Investors are advised to refer to the details in the SAI. In view of the individual nature of tax benefits, each investor is also advised to consult his or her own tax consultant with respect to the specific tax implications arising out of his or her participation in the Scheme.					
<b>Net Asset Value (NAV) publication</b>	NAV will be declared on all Business Days and published in two newspapers. NAV can also be viewed on www.dsblackrock.com and www.amfiindia.com. You can also call us at 1800-200-4499.					
<b>For Investor Grievances please contact</b>	Computer Age Management Services Pvt. Ltd., Rayala Towers, Tower I, 3rd floor, 158 Anna Salai, Chennai – 600 002. Tel: 044-2852 1596/0516, Fax: 044-4203 2952, email id: eng_d@camsonline.com OR DSP BlackRock Mutual Fund, Maker Chamber VI, Office no. 126/127, 12th floor, Jammnalal Bajaj Road, Nariman Point, Mumbai – 400 021. Tel: 022- 6671 8000, Fax: 6671 8031, email id: service@dsblackrock.com. For any grievances with respect to transactions through stock exchange mechanism, Unit holders must approach either their stock broker or the investor grievance cell of the respective stock exchange.					
<b>Listing</b>	The Units of the Scheme are not proposed to be listed on any stock exchange. The Units will however, be available for purchase/sale through the stock exchange mechanism at a later date notified by the AMC. Please refer to instructions for details on 'Trading in Units through Stock Exchange Mechanism'					
<b>Unit Holders' Information</b>	<p>Account Statements : Account Statement stating the number of Units will be sent by ordinary post and/or electronic mail to each Unit Holder within the following periods :</p> <ul style="list-style-type: none"> <li>• For fresh/additional purchase during Continuous Offer : Within 5 Business Days from the date of allotment of Units</li> <li>• For redemption/switch: Within 10 Business Days from the date of acceptance of redemption request or Applicable NAV, whichever is later.</li> <li>• For SIP/STP: Within 10 Business Days from the first investment and subsequently at the end of each quarter (March, June, September, December).</li> <li>• Where investor desires to hold Units in dematerialized form, demat statement shall be provided by the Depository Participant in such form and in such manner and at such time as provided in the agreement with the beneficial owner. The statement provided by the Depository Participant will be equivalent to an account statement, and no further statement shall be issued by the Mutual Fund. For more details, please refer the SID.</li> </ul> <p><b>Half yearly portfolio disclosure:</b> Full portfolio in the prescribed format shall be disclosed either by publishing it in one national English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head office of the Mutual Fund is situated or by sending it to the Unit Holders within one month from the end of each half-year, that is as on March 31 and September 30. It shall also be displayed on www.dsblackrock.com.</p> <p><b>Annual Financial results:</b> The annual report of the Scheme or an abridged summary thereof, will be sent to all Unit Holders not later than four months from the end of the relevant financial year i.e. March 31 each year. Whenever the report is sent in a the abridged form, the full annual report will be available for inspection at the registered office of the Trustee and a copy made available on request to the Unit Holders on payment of a nominal fee. The Annual Report shall also be displayed on www.dsblackrock.com.</p>					

<b>Comparison with the other open-ended equity oriented schemes of the Mutual Fund</b>			
		<b>AUM as on May 31, 2010 (Rs. crore)</b>	<b>No. of folios as on May 31, 2010</b>
<b>DSP BlackRock Micro Cap Fund</b>	The primary investment objective is to seek to generate long term capital appreciation from a portfolio that is substantially constituted of equity and equity related securities, which are not part of the top 300 companies by market capitalisation. From time to time, the Investment Manager will also seek participation in other equity and equity related securities to achieve optimal portfolio construction. This shall be the fundamental attribute of the Scheme.	292.43	22,628
<b>DSP BlackRock Equity Fund</b>	Open ended growth Scheme, seeking to generate long term capital appreciation, from a portfolio that is substantially constituted of equity securities and equity related securities of issuers domiciled in India.	1,942.50	119233
<b>DSP BlackRock Opportunities Fund</b>	Open ended growth Scheme, seeking to generate long term capital appreciation and whose secondary objective is income generation and the distribution of dividend from a portfolio constituted of equity and equity related securities concentrating on the investment focus of the Scheme.	870.21	68054
<b>DSP BlackRock Small and Mid Cap Fund</b>	Open ended equity growth scheme, primarily seeking to generate long term capital appreciation from a portfolio substantially constituted of equity and equity related securities, which are not part of top 100 stocks by market capitalization.	847.67	129767
<b>DSP BlackRock Technology.com Fund</b>	Open ended growth Scheme, seeking to generate long term capital appreciation, and whose secondary objective is income generation and the distribution of dividend from a portfolio constituted of equity and equity related securities concentrating on the investment focus of the Scheme.	88.81	16306
<b>DSP BlackRock Top 100 Equity Fund</b>	Open ended growth Scheme, seeking to generate capital appreciation, from a portfolio that is substantially constituted of equity securities and equity related securities of the 100 largest corporates, by market capitalisation, listed in India.	2,719.82	216088
<b>DSP BlackRock Tax Saver Fund</b>	Open ended equity linked savings scheme, whose primary investment objective is to seek to generate medium to long-term capital appreciation from a diversified portfolio that is substantially constituted of equity and equity related securities of corporates, and to enable investors avail of a deduction from total income, as permitted under the Income Tax Act, 1961 from time to time.	821.37	297996
<b>DSP BlackRock Natural Resources and New Energy Fund</b>	Open ended equity growth scheme, whose primary investment objective is to seek to generate capital appreciation and provide long term growth opportunities by investing in equity and equity related securities of companies domiciled in India whose predominant economic activity is in the (a) discovery, development, production, or distribution of natural resources, viz., energy, mining etc; (b) alternative energy and energy technology sectors, with emphasis given to renewable energy, automotive and on-site power generation, energy storage and enabling energy technologies. The Scheme will also invest a certain portion of its corpus in the equity and equity related securities of companies domiciled overseas, which are principally engaged in the discovery, development, production or distribution of natural resources and alternative energy and/or the units/shares of BGF - NEF, BGF - WEF and similar other overseas mutual fund schemes.	185.75	43542
<b>DSP BlackRock India T.I.G.E.R. Fund (The Infrastructure Growth and Economic Reforms Fund)</b>	Open ended diversified equity Scheme, seeking to generate capital appreciation, from a portfolio that is substantially constituted of equity securities and equity related securities of corporates, which could benefit from structural changes brought about by continuing liberalization in economic policies by the Government and/or from continuing investments in infrastructure, both by the public and private sector.	3,151.55	426453
<b>DSP BlackRock Balanced Fund</b>	Open ended balanced Scheme, seeking to generate long term capital appreciation and current income from a portfolio constituted of equity and equity related securities as well as fixed income securities (debt and money market securities).	677.09	29412

Date: May 31, 2010

Application No.:

Distributor Name and ARN	Sub Broker Code	Branch / RM Code	For Office use only
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Distributor Contact No:			
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Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**1. FIRST APPLICANT'S DETAILS**

**Name of First Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**Existing Folio No** \_\_\_\_\_ / \_\_\_\_\_ (If you have an existing folio number with PAN and KYC validation, please mention the number here and skip to section 5. Mode of holding will be as per existing folio number)

**Date of Birth** (Mandatory for minor) DD / MM / YYYY Gender  Male  Female

**Email ID** (in capital) \_\_\_\_\_ [Refer instruction 4.]

**PAN** (1st applicant / guardian) \_\_\_\_\_ **Enclosed** (Please tick  )  Attested PAN card copy  
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

**Name of Guardian** if minor / **Contact Person** for non-individuals / **PoA** Holder name: \_\_\_\_\_ **PoA PAN\*** \_\_\_\_\_

**Address for Correspondence** (P.O. Box address is not sufficient) \*PoA holder should be KYC compliant and also attach KYC Acknowledgement

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
City \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_ State \_\_\_\_\_

STD Code \_\_\_\_\_ Telephone \_\_\_\_\_ Fax \_\_\_\_\_

Mobile +91 \_\_\_\_\_

**Overseas Address** (mandatory for NRI / FII applicants in addition to mailing address in India) (P. O. Box address is not sufficient)

\_\_\_\_\_  
\_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_

Country \_\_\_\_\_

**Status of Sole/1st Applicant** (Please tick  )  Individual  On Behalf Of Minor  HUF  Sole Proprietorship  NRI (Repatriable)  NRI (Non-Repatriable)  Partnership Firm  Company  AOP/BOI  Body Corporate  Trust  Society  FII  FOF - MF schemes  Provident Fund  Superannuation / Pension Fund  Gratuity Fund  Bank / FI  Government Body  Insurance Companies  Others \_\_\_\_\_ (Please specify)

**Occupation** (Please  )  Service  Professional  Business  Housewife  Retired  Student  Other \_\_\_\_\_

**2. JOINT APPLICANTS' DETAILS**

**Name of Second Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**PAN** (2nd applicant) \_\_\_\_\_ **Enclosed** (Please tick  )  Attested PAN card copy  
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

**Name of Third Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**PAN** (3rd applicant) \_\_\_\_\_ **Enclosed** (Please tick  )  Attested PAN card copy

**Mode of Holding** (Please tick  )  Single  Anyone or survivor  Joint (Default)  KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

**ACKNOWLEDGEMENT SLIP** (To be filled in by the investor) **DSP BLACKROCK MUTUAL FUND**

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

From \_\_\_\_\_

vide cheque number \_\_\_\_\_ in DSP BlackRock Micro Cap Fund

Checklist  All Investments  Bank Mandate  Attested PAN Card copy  KYC Compliance

**Application No.**

\_\_\_\_\_

### 3. BANK ACCOUNT DETAILS (Refer Instruction 3) (Mandatory)

Bank Name

Bank A/c No.  A/c Type  Savings  Current  NRE  NRO  FCNR  OTHERS

Branch Address

City  Pin

9 Digit MICR code  (This is a 9 digit number next to your cheque number) IFSC code: (11 digit)

### 4. OTHER FACILITIES (Please )

I would like to receive a PIN (for telephone & internet transactions, as and when started)

### 5. INVESTMENT DETAILS (Please )

**DSP BLACKROCK MICRO CAP FUND**  Regular Plan - Growth\*  Institutional Plan - Growth \*Default

### 6. PAYMENT DETAILS (A/c Payee cheque favouring "DSP BlackRock Micro Cap Fund")

One time Lump sum Investment:  Please fill the details here under.  Do not submit SIP Auto Debit Form.

**LUMPSUM**

Cheque / DD No.  Cheque/DD Date  D D / M M / Y Y Y Y

Amount of Cheque/DD (Rs.) (i)  Drawn on Bank/ Branch Name

DD charges, if any, (Rs.) (ii)

Total Amount  In Words (Rs.) (i) + (ii)  In figures (Rs.)

Account Type (Please )  Savings  Current  NRE  NRO  FCNR

**SIP**

I wish to invest through:

SIP: Systematic Investment Plan.  Please fill up SIP Auto Debit form attach to this form

First SIP Cheque Details: (Mention Amount in SIP Auto Debit Form)

Cheque / DD No.  Cheque/DD Date  D D / M M / Y Y Y Y

Drawn on Bank & Branch

### 7. NOMINATION DETAILS (Refer Instruction 6)

	Nominee	Name of Guardian (In case of Minor)	% of Investment Allocation
Nominee 1			
Address			
Nominee 2			
Address			
Nominee 3			
Address			<b>Total = 100%</b>

### 8. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions; I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

**Applicable to NRIs only**

I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

If NRI  Repatriation basis  Non-Repatriation basis

**SIGNATURE (S)**

Sole / First Applicant/ Guardian

Second Applicant

Third Applicant

Email: [service@dspblackrock.com](mailto:service@dspblackrock.com)  
Website: [www.dspblackrock.com](http://www.dspblackrock.com)

Contact Centre: 1800 200 4499

Checklist  All Investments  Bank Mandate is provided  
 PAN Card copy (Attested with a seal by a Distributor, Bank Manager, Notary)  
 KYC Acknowledgement

# DSP BLACKROCK MICRO CAP FUND

# SIP AUTO DEBIT FORM

Please refer to Instructions of KIM and as mentioned  
overleaf before filling the form.

Application No.:

## REGISTRATION CUM MANDATE FORM FOR ECS (Debit clearing) / Direct Debit/Standing Instructions

BROKER ARN: Contact No:	Sub Broker Code	For Office use only
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Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

## INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name

### Scheme: DSPBR MICRO CAP FUND - Regular Plan - Growth Plan

Email ID: (In capital) \_\_\_\_\_  
Mobile Number: + 9 1 \_\_\_\_\_ (For SMS Alerts) (For Email Delivery instead of physical account statement.)

	Sole / First Applicant / Guardian	Second Applicant / Guardian	Third Applicant / Guardian
<b>PAN</b> (Provide attested copy) In case of Micro SIP (Refer Instruction 3)			
ID Proof Document Name			
Document Number (provide attested photo identification proof)			

## SIP AND DEBIT DETAILS

Each SIP Amount (Rs.) \_\_\_\_\_ Frequency  Monthly\*  Quarterly  
(Minimum Rs. 1,000/-)

SIP Debit Dates:  1<sup>st</sup>\*  7<sup>th</sup>  14<sup>th</sup>  21<sup>st</sup> (Please tick  only one date. Use separate forms for different dates)

**SIP Period** Start Month \_\_\_\_\_ End Month M M Y Y

(Note: There should be a minimum time gap of one month and maximum time gap of two months between the first cheque for SIP investment and first instalment of SIP Debit)

**First SIP Cheque No.:** \_\_\_\_\_ **Cheque date** D D / M M / Y Y Y Y

(Cheque amount same as Auto Debit Amount and should be drawn on bank whose details are provided below)

**Mandatory Enclosure** (If 1st instalment is not by cheque)  Blank Cancelled Cheque  Cheque Copy \*Default

## PARTICULARS OF BANK ACCOUNT

I/We hereby authorise DSP BlackRock Mutual Fund and their authorised service providers to debit my/our following bank account by ECS (Debit Clearing)/Direct Debit/SI to account for collection of SIP payments.

**Accountholder Name as in Bank Account** \_\_\_\_\_  
Bank Name \_\_\_\_\_  
Branch Name & Address \_\_\_\_\_  
Account Number \_\_\_\_\_ Account Type  Savings  Current  NRE  NRO  
(Core Banking No. in full)

9 Digit MICR Code \_\_\_\_\_ ◀ (Please enter the 9 digit number that appears after your cheque number)

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of DSP BlackRock Mutual Fund mentioned within, I hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS/Direct Debit/Standing Instructions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible, I will also inform DSP BlackRock Mutual Fund, its service providers and bank about any changes in my bank account. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf. In case of Micro SIP application without PAN, I/We hereby declare that I/we do not have any existing Micro SIPs with DSP BlackRock Mutual Fund which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

<b>First Account Holder's Signature</b>	(As in Bank Records)	<b>Second Account Holder's Signature</b>	(As in Bank Records)	<b>Third Account Holder's Signature</b>	(As in Bank Records)
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## Authorisation of the Bank Accountholder (to be signed by the Bank Accountholder)

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit/Standing Instructions Facility and that my/our payment towards my/our investment in DSP BlackRock Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative of DSP BlackRock Mutual Fund carrying this mandate form to get it verified & executed. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Direct/SI mentioned overleaf.

**Bank Account Number**

<b>First Account Holder's Signature</b>	(As in Bank Records)	<b>Second Account Holder's Signature</b>	(As in Bank Records)	<b>Third Account Holder's Signature</b>	(As in Bank Records)
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## Acknowledgement (Subject to verification)

## DSP BlackRock Mutual Fund

Investor's Name \_\_\_\_\_  
Folio No. \_\_\_\_\_ / \_\_\_\_\_ Scheme \_\_\_\_\_  
SIP Date \_\_\_\_\_ SIP Amount (Rs.) \_\_\_\_\_ Frequency:  Monthly  Quarterly

**List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)**

- Agra ● Ahmedabad ● Allahabad ● Amritsar ● Asansol ● Aurangabad ● Bangalore ● Baroda ● Belgaum ● Bhilwara ● Bhopal
- Bhubaneshwar ● Bijapur ● Bikaner ● Burdwan ● Calicut ● Chandigarh ● Chennai ● Cochin ● Coimbatore ● Cuttack ● Davangere
- Dehradun ● Delhi ● Dhanbad ● Durgapur ● Erode ● Gadag ● Gangtok ● Gorakhpur ● Gulbarga ● Guwahati ● Gwalior ● Hubli ● Hyderabad
- Indore ● Jabalpur ● Jaipur ● Jalandhar ● Jammu ● Jamnagar ● Jamshedpur ● Jodhpur ● Kakinada ● Kanpur ● Kolhapur ● Kolkata
- Lucknow ● Ludhiana ● Madurai ● Mandya ● Mangalore ● Mumbai ● Mysore ● Nagpur ● Nasik ● Nellore ● Panjim ● Patna
- Pondicherry ● Pune ● Raichur ● Raipur ● Rajkot ● Ranchi ● Salem ● Shimla ● Shimoga ● Siliguri ● Solapur ● Surat ● Tirupati
- Tirupur ● Trichur ● Trichy ● Trivendrum ● Udaipur ● Udipi ● Varanasi ● Vijayawada ● Vizag

In other cities, facility available to account holders of Core Banking Branches of following banks

- Axis Bank ● Bank of Baroda ● Bank of India ● Citibank ● ICICI Bank ● IDBI Bank
- Kotak Bank ● Punjab National Bank ● Union Bank of India ● Corporation Bank

1. Please refer the SID, SAI and Key Information Memorandum, Addenda issued from time to time and our website [www.dspblackrock.com](http://www.dspblackrock.com) for instructions, terms and conditions, Applicable NAV, Risk Factors, load and other information, various requirements of the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
2. This facility is only offered to those investors having bank accounts in select cities and with banks as mentioned above. The list of cities, banks and branches may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If the SIP auto debit facility is discontinued in a city for any reason, SIP instructions for investors in such city via ECS (Debit) route/Direct debit/SI may be discontinued without prior notice.
3. PAN is mandatory for all investors. However, investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification document in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected.
4. If SIP application form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits may not happen and SIP instruction may get rejected.
5. In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
6. To avail of SIP in separate schemes/plans via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously and may be rejected.
7. Complete application form and SIP debit form along with the first cheque (drawn on the same bank account which is to be registered for ECS Debit/Direct Debit / Standing Instruction) should be submitted to the Mutual Fund or the Registrar. AMC reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
8. Existing Investors are required to submit the SIP debit form, indicating the existing Account Number and investment details in the SIP section of this form.
9. In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to the bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively, investors can also get the bank account mentioned on the form certified from their banker with the bank seal.
10. It will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP start date mentioned on the form.
11. The SIP period should cover minimum 12 installments, whether monthly or quarterly. The Mutual Fund and the Registrar reserve the right to modify the SIP period depending on the one month period for registration and to ensure minimum twelve installments, even if the investor has submitted the form late or requested for a period less than 12 installments.
12. If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. If no end date is specified or in the absence of SIP end date, SIP will be registered for a period of 10 years.
13. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
14. The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason by the investor's banker, the investor would not hold the Mutual Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Mutual Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID, SAI, KIM and all relevant Addenda of the scheme concerned.
15. The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of bank's computer system, force majeure events, or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
16. For change in bank mandate, the SIP dates and the amount will not be considered even if mentioned. The SIP amount and the SIP tenure will remain the same as provided at the time of initial registration.
17. For the purpose of SIP investments, the investor and/or bank accountholders authorizes AMC, its registrars, bankers, correspondent bankers and service providers, to raise a debit on the mentioned account with the bank branch. The investor and/or bank accountholders hereby authorizes the bank to honor all such requests received through AMC, its registrars, bankers and service providers to debit their Account with the amount requested, for due remittance of the proceeds to AMC.  
The investor/s or the bank accountholders shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. Investors will not have any claim against the AMC, service providers, bankers, correspondent bankers and service providers in respect of the amount so debited pursuant to the mandate submitted by the investor/account holder. Investor/s and/or the bank account holders shall keep the AMC, its Registrar, bankers, correspondent bankers and other service providers, jointly and or severally indemnified, from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by them, by reason of their acting upon the instructions issued by the named authorized signatories / beneficiaries. This request for debit mandate is valid and may be revoked only through a written letter from the investor submitted atleast one month in advance withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at AMC/Registrar/bank counters and giving reasonable notice to effect such withdrawal.
18. To modify SIP debit bank mandate, the investor may fill a separate form, viz., "Change of Payment Mechanism form" which is available at all the offices of the Mutual Fund. Instructions in any other form / manner are liable to be rejected.
19. This request for debit mandate is valid until it is revoked by the investor through a written SIP cancellation request in the designated form withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at bank counters. Such request must be submitted atleast one month prior to the due date of the next SIP, failing which SIP debit may be processed. If the cancellation/termination / withdrawal request is submitted to the AMC/Registrar, the customer agrees that it is an authority to the AMC/Registrar to instruct the debit bank on discontinuation of SI and Customer will not hold Bank responsible for any SI cancellation based on AMC instruction.
20. Investors agree that the AMC may discontinue the SIP facility for any investor / folio entirely at it's discretion and advise banks for SI cancellation in case one or more debits are rejected and funds not received for any reason.

**SIP AUTO DEBIT FORM CHECKLIST**

- |   |   |
|---|---|
| <ul style="list-style-type: none"> <li><input type="checkbox"/> Application Form is complete in all respect. Name, Application Number/ Folio, Scheme, Plan, Option are mentioned clearly</li> <li><input type="checkbox"/> SIP Date, SIP Frequency has been ticked correctly</li> <li><input type="checkbox"/> Start Date and End Date is clearly mentioned as Month – Year.</li> </ul> | <ul style="list-style-type: none"> <li><input type="checkbox"/> Bank Name, Full Branch Address, Full Account Number, MICR Code for debit has been mentioned clearly and legibly.</li> <li><input type="checkbox"/> Form is signed by all applicants as in bank records in two places as specified.</li> </ul> |
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# INSTRUCTIONS

Please read carefully the Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) before filling up the application form. Investors are deemed to have read and accepted the terms, as prevailing on the date of application being accepted and units being allotted, subject to which this offer is being made, and bind themselves to the terms upon signing the application form.

All applications will be accepted subject to verification. Invalid or incomplete applications are liable to be rejected after acceptance and verification, and information will be sent to the address by general post.

All documents required to be submitted with the application form or later, like power of attorney, etc. should be in English language or notarized translated copy in English language.

**General Instructions:** a. This application form may be used by both resident and non-resident investors. b. The application form should be filled in English in BLOCK LETTERS and the applicant's names and addresses should be provided in full (P.O. Box No. alone is not sufficient). Please tick (✓) in the appropriate box (D), where boxes have been provided. c. Application forms that fall under any of the following categories are liable to be rejected and will not be returned to the customers. If applicable, the money paid will be refunded/returned without interest. i. Application forms incomplete in any respect or where there is any over writing on the form without the applicant's counter signature ii. Application forms invalid / incomplete /ambiguous / without supporting documents in any respect. iii. Application forms that are not accompanied by a payment instrument for the amount payable, such as a cheque or a demand draft, or an account-to-account transfer instruction to credit the account of DSP BlackRock Mutual Fund (Designated Account) on the same day. iv. Application forms that the Trustee chooses to reject for any other reason determined at its sole discretion. d. No separate receipt will be issued for the application money. The Banks / Investor Service Centre / Collection Centre will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application, subject to verification. e. Irrespective of mode of holding, the sole/first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence. f. All allotments will be provisional, subject to realisation of payment instrument and subject to the AMC having been reasonably satisfied that the Mutual Fund has received clear funds. Any redemption or switch out transaction in the interim is liable to be rejected at the sole discretion of the AMC. g. Any subsequent changes in static information like address, bank details, dividend sub option etc. will be based on written communication from investors. These changes will be effected within 5 days of the valid signed request reaching the office of the Registrar at Chennai, and any interim financial transactions will be effected with last available/registered details only. Request for change in address for KYC compliant folios should be submitted to CDLS Ventures Limited. Investors transacting through the stock exchange mechanism should approach their respective DP for non-financial request. h. Investors shall not take that they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDLS Ventures Limited, if their investments (including SIP) are of value greater than or equal to Rs. 50,000. For more information, please log on to [www.dsplblackrock.com](http://www.dsplblackrock.com) / [www.cvlindia.com](http://www.cvlindia.com) / [www.amfindia.com](http://www.amfindia.com) before investing. Applications of Rs. 50,000 or more are liable to be rejected without any intimation to the applicants, if KYC requirements are not complied with by all the applicants. i. If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, 'DIRECT' should be mentioned in the space provided for ARN Number. Any subsequent change / updation / removal of broker code will be based on the written request from the Unit holder and will be on a prospective basis only from the date when the Registrar executes such written instruction. j. Investors should mandatorily use the application form/SIP debit form & SIP/SWP/STP form in the KIM booklet, and other standard forms available at the ISCs/[www.dsplblackrock.com](http://www.dsplblackrock.com), for any financial/non-financial transactions. Any transaction received in any non standard form, is liable to be rejected. k. Investors should provide details/instructions only in the space provided in the form. Any details/notings/information/instruction provided at a non designated area of the application form being used, or any additional details, for which space is not designated in the application form, may not be executed and the AMC. l. The AMC and its Registrar reserve the right to disclose the details of investors and their transactions to third parties viz. banks, couriers, distributors and any other organization for the purpose of transaction confirmations and/or execution, redemptions, payouts, data validations, compliance with legal and regulatory requirements or for complying with anti-money laundering requirements.

**1. First Applicant's Details:** a. Applicants should fill in all details as requested in the relevant section. Name should be provided in full. b. If the applicant/s already has/have a folio with the Mutual Fund, the applicant should fill in the folio number, in order to invest in the same folio. Investment in the same folio will be possible only if the name(s) of the holder(s), the order of the holders, the mode of holding and the folio no. are the same. The names of the holders, the mode of holding, etc., will remain as per the details available in the existing folio. c. PAN shall be the sole identification number and is mandatory for all investors (including joint applicants, guardians, PoA holders and NRIs), irrespective of the amount of transaction. Accordingly, investors shall be required to submit a photocopy of the PAN card, provided that it shall either be verified with the originals at the Collection Centre or be verified/ attested by AMFI registered distributors, bank managers or judicial authorities. d. Investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification document in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected. e. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN, provided they mention their Sikkim address on application form and provide a proof of such address, duly verified by bank managers or judicial authorities. f. If the application is on behalf of a minor, the guardian's name and the date of birth of the minor should be provided. g. Applicants should provide contact information such as correspondence address, telephone number/s and email address, status of first applicant and occupation. In the case of NRIs, overseas address should be provided. To receive communication at an Indian address, NRIs should separately communicate Indian address and their folio to the Mutual Fund. h. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a duly certified true copy thereof) duly notarized, or the relevant resolution or authority to make the application (or duly certified copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and/or Certificate of Registration. All documents should be in English language or notarized translated copy in English language.

**2. Joint Applicants' Details:** a. Joint applicants must fill in all details as requested in section 2. b. PAN and KYC compliance is mandatory for all applicants, irrespective of mode of holding. For more details, please refer General Instruction (h) and instruction 1(c) and 1(d). c. Applicants should specify the mode of holding. If the mode of holding is not specified or is ambiguous, the default option for such applications will be 'Joint'. d. In the case of joint holders, the first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.

**3. Bank Account Details:** a. To protect the interests of investors from fraudulent encashment of cheques and as per the SEBI Regulations, it is mandatory for all investors of mutual fund schemes to provide their bank mandate. **Applications without the mandatory bank details are liable to be rejected.** b. The investor agrees that the proceeds towards redemptions and dividends will be despatched by a reasonable mode of despatch like courier, post, UCP, etc. in case of cheque/demand draft or directly credited to the bank account (as per the details mentioned by the investor) in case of using direct credit facility, RTGS or NEFT, entirely and solely at the risk of the investor. The investor will not hold the Mutual Fund or the AMC or the Registrar responsible for any non-receipt or delay of receipt of redemption & dividend proceeds due to any negligence or deficiency in service by the courier company, postal authorities or the bank executing direct credits/RTGS/NEFT, or due to incorrect bank account details provided by the investor. c. Any subsequent request for change of bank mandate details will be entertained only if the customer provides any of the following along with the designated change request form. i. copy of a cheque of new bank account or ii. a cancelled cheque pertaining to new bank account

or iii. a letter from new bank certifying bank account details including MICR code & IFSC Code (where available) d. Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be processed within five days of documents reaching the head office of the Registrar and any financial transaction request received in the interim will be carried out with previous details only.

**4. Other Facilities / Email Communication:** a. Account statements, newsletters, annual reports and other kinds of communication can be sent efficiently via email, as provided for in the application form; hence applicants are encouraged to provide their email address in the application form. b. Account statements, newsletters, annual reports and other kinds of communication will be sent through email instead of physical mode, for investors who provide their email address on the application form. Should they wish to have a hard copy, they are requested to send an email to [service@dsplblackrock.com](mailto:service@dsplblackrock.com). c. It is deemed that the Unit Holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email. d. PIN facility: The Mutual Fund may provide the facility to transact over the telephone or on the Internet. For this purpose, Unit Holders will be provided with a Personal Identification Number (PIN). PIN can be issued to all investors to avail of various services. Applicants who wish to receive a PIN should read the terms and conditions of the PIN facility in the relevant SID and tick the relevant box in Section 4 of the application form to request for a PIN. A PIN will be mailed to the Unit Holder entirely at his/her/its own risk.

**5. Investment and Payment Details:** a. Investors should fill in the desired Plan / Option / Sub Option clearly in the space provided in the application form. **In case the investor does not fill in the desired Plan / Option / Sub Option properly and clearly or in the case of incomplete details, lack of clarity or ambiguity, the default option will be considered and applied.** The Mutual Fund will not entertain any request from Unit Holders for a change in Plan / Option / Sub Option after the application is accepted. b. Any change in dividend sub option due to additional investment or customer request will be applicable to all existing Units in the dividend option of the scheme concerned. c. Payments by cash, stock invests, credit cards, post-dated cheques (except through SIP), outstation cheques and post-dated account-to-account transfer instructions to credit the Designated Account will not be accepted. d. Investors residing in locations where Investor Service Centres or Collection Centres are not located are requested to make payment by demand drafts. Demand draft charges for such investors will be borne by the AMC, subject to the standard demand draft charges, as charged by the State Bank of India, and the investors attaching proof of the charges. e. The cheque or demand draft should be payable locally at the centre where the application is deposited, and should be drawn on any bank that is a member of the Bankers' Clearing House. f. The cheque or demand draft should be drawn in favour of '**DSP BlackRock Micro Cap Fund**', as the case may be, and should be crossed 'Account Payee Only'. g. A separate cheque should be given for each separate investment in a different scheme or plan or option. h. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested. NRI Applicants should also clearly tick on account type as NRE or NRO or FCNR to determine the repatriation status of the investment amount. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided in the NFO form under Investment and Payment details and will not be liable for any incorrect information provided by the applicants. Applicants will have to coordinate with their authorized dealers and banks to repatriate the investment amount as and when needed. i. For NRIs, FIs, and Persons of Indian Origin investing on a non-repatriable basis, payments may be made by cheques or demand drafts drawn on Non-Resident Ordinary (NRO) accounts or Non-Resident Special Rupee (NRSR) accounts, payable at the city where the application form is submitted. j. Returned cheques are liable not to be presented again for collection, and the accompanying application could also be rejected. In case returned cheques are presented, the necessary charges including returned charges may be debited to the investor.

**6. Nomination Details:** a. Nomination can be made only by individuals applying for / holding Units on their own behalf singly or jointly. Non-individuals (including societies, trusts, bodies corporate, partnership firms, etc.), Kartas of Hindu Undivided Families (HUF) and holders of Power of Attorney cannot nominate. If Units are held jointly, all joint holders should sign the Nomination Form. b. A minor can be nominated, and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his/her office, or a religious or charitable trust. c. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. An NRI can be a nominee, subject to the exchange controls in force from time to time. d. Nomination in respect of Units stands rescinded upon redemption of the Units. e. Transfer of Units in favour of a nominee(s) shall be valid discharge by the AMC against the legal heir. f. Cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination. g. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the Units in favour of the nominee(s). h. The rights in the Units will vest in the nominee(s) only upon the death of all Unit Holders. i. Nomination can be made in favour of more than one, and upto three individuals. Investors who desire to make nomination in respect of multiple nominees should clearly specify the percentages to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees.

**7. Declaration & Signatures:** a. Signature(s) should be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India. b. Thumb impressions and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a magistrate or a Notary Public or a special Executive Magistrate under his/her official seal. c. Applications by minors should be signed by their guardians. In the case of an HUF, the Karta should sign on behalf of the HUF. d. Authorised officials should sign the form under their official designation and company seal. A list of specimen signatures of the authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment should be submitted.

**8. Trading in Units through Stock Exchange Mechanism:** a. This facility enables investors/unit holders to buy and sell the Units of the Scheme through the stock brokers registered with BSE and/or NSE in accordance with the operating guidelines provided by the exchanges. The investors can hold the Units in dematerialized mode in the accounts maintained with their Depository Participants. The investor shall be serviced directly by such stock brokers/Depository Participant. The Fund will not be in a position to accept any request for transactions or service requests in respect of Units bought under this facility in demat mode. b. Investors may note that this facility is currently being offered only to investors who wish to hold Units in dematerialized form and, only for subscription below Rs. 1 crore. c. Know Your Client (KYC) Requirement: Investors transacting in the Units of the Schemes through BSE and/or NSE in a dematerialized mode, will be subject to KYC formalities carried out by the Depository Participant and such KYC will be considered as sufficient compliance of SEBI circular dated December 19, 2008 on Anti Money Laundering standards. d. This facility will currently not support transactions done through switches or facilities such as SIP, SWP and STP. In case of Unit holders transacting through BSE and/or NSE, the records of the Depository Participant shall be considered final. e. Dematerialization of Units: Unit holders may have/open a beneficiary account with a Depository Participant of a Depository and choose to hold the Units in dematerialized mode. The Unit holders have the option to dematerialize the Units held as per the account statement sent by the Registrar by making an application to the AMC/ Registrar for this purpose. f. Rematerialization of Units: Rematerialization of Units can be carried out in accordance with the provisions of SEBI (Depositories and Participants) Regulations, 1996 as may be amended from time to time. Investors who wish to get back their securities in physical form, may request their respective Depository Participant for rematerialization of Units in their beneficiary accounts. The Depositor Participant will generate a rematerialization request number and the request will be dispatched to the AMC/Registrar. On acceptance of request from the Depository Participant, the AMC/ Registrar will dispatch the account statement to the investor and will also send confirmation to the Depository Participant.

# List of Official Points of Acceptance of Transactions

## DSP BlackRock Investment Managers Pvt. Ltd. - Investor Service Centres

<b>Ahmedabad</b>	3rd Eye One, Office No. 301, 3rd Floor, Opp. Hommor Restaurant, Nr Panchvati Circle, C.G. Road, Ahmedabad - 380 006.
<b>Bangalore</b>	19/5 & 19/6, Kareem Towers, Cunningham Road, Bangalore - 560 052.
<b>Bhubneshwar</b>	Lotus House, Office No 3, 2nd Floor, 108/A, Kharvel Nagar, Unit-3, Janpath, Bhubneshwar - 751 001.
<b>Chandigarh</b>	Ground Floor, SCO 40-41, Sector 9 D, Madhya Marg, Chandigarh - 160 017.
<b>Chennai</b>	1st Floor, SPS Buildings, Door No. 185, Annasalai, Chennai - 600 002.
<b>Coimbatore</b>	1st Floor, East Wing, Tristar Towers, 657, Avinashi Road, Coimbatore - 641 037.
<b>Goa</b>	4th Floor, Mathias Plaza, 18th June Road, Panaji, Goa - 403 001.
<b>Guwahati</b>	Mayur Gardens, Shop No. 5, Upper Ground Floor, G. S. Road, Guwahati - 781 005.
<b>Hyderabad</b>	Mahavir Chambers, Office No. 103, Door No. 3-6-363 & 3-6-1/1, Himayathanagar Road, Basheerbagh, Hyderabad - 500 029.
<b>Indore</b>	206, 2nd Floor, Starlit Tower, 29/1 Y N Road, Indore - 452 001.
<b>Jaipur</b>	201 - 204, Green House, Above Axis Bank, O15, Ashok Marg, C Scheme, Jaipur - 302 001.
<b>Jamshedpur</b>	Gayatri Enclave, Office No. 3-C, 2nd Floor, K Road, Bistupur, Jamshedpur - 831 001
<b>Kanpur</b>	Kan Chambers, Office No. 701-703, 7th Floor, 14/113, Civil Lines, Kanpur - 208 001.
<b>Kochi</b>	40/1045 H1, 6th Floor, Amrithaa Towers, Opp. Maharajas College Ground, M.G Road, Cochin - 682 011.
<b>Kolkata</b>	301 & 309, Lords, 3rd Floor, 7/1 Lord Sinha Road, Kolkata - 700 071.
<b>Lucknow</b>	Speed Motors Building, 3rd Floor, 3-Sahanajaf Road, Lucknow - 226 001.
<b>Ludhiana</b>	Regalia Heights, SCO No. 32, Ground Floor, Feroze Gandhi Market, Pakhowal Road, Ludhiana - 141 001.
<b>Mangalore</b>	Maximus Commercial Complex, Office No. UGI - 5, Light House Hill Road, Mangalore - 575 001.
<b>Mumbai</b>	Maker Chamber VI, Office No. 126/127, 12th Floor, Jamnalal Bajaj Road, Nariman Point, Mumbai - 400 021.
<b>Nagpur</b>	Milestone, Office No. 108 & 109, 1st Floor, Ramdas Peth, Wardha Road, Nagpur - 440 010.
<b>Nashik</b>	Bedmutha's Navkar Heights, Office No. 1 & 2, 3rd Floor, New Pandit Colony, Saharanpur Road, Nashik - 422 002.
<b>New Delhi</b>	Dr Gopal Das Bhavan, Upper Ground Floor, 28, Barakhamba Road, New Delhi - 110 001.
<b>Patna</b>	Dukhan Ram Plaza, Office No. 304, 3rd Floor, Exhibition Road, Patna - 800 001.
<b>Pune</b>	306 Business Guild, Plot # 87/2, Law College Road, Erandawane, Pune - 411 004
<b>Rajkot</b>	Hem Arcade, Office No. 303, 3rd Floor, Kathiawad Gymkhana Road, Rajkot - 360 001.
<b>Surat</b>	International Trade Center, Office No. G-28, Majura Gate Crossing, Ring Road, Surat - 395 002.
<b>Vadodara</b>	401, 4th Floor, Sakar Complex, Haribhakti Colony, Old Padra Road, Vadodara - 390 007.
<b>Vishakapatnam</b>	Cabin Premises No. 11, First Floor, Eswar Arcade, 1 Floor, 47-11-1/5, Dwarka Nagar, 1 Lane, Vishakapatnam - 530 016.

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